

Global Plus Sum of Unapproved ATBs Report

07-M1010047_01

Company : 1-Cherokee Nation
Accounting Unit : 1010047-BCC Survivor Fund
As of Period : Period 12-2012
Display Accounts : Posting Accounts Only
Global Plan : FY2012_MOD
Currency : USD-US Dollars
Scale : Single
Report Data updated as of: 12/19/2011 03:58:47 PM
Reporting Server Time zone is set to: (GMT -6:00) Central Standard Time

	Global	ATB	TOTAL
1 - Cherokee Nation	0	0	0
TRIBAL - Tribal	0	0	0
EXEC_BRANCH - Executive Branch Summary	0	0	0
1010047 - BCC Survivor Fund	0	0	0
480010-0 - Contributions & donations	0	-10,200	-10,200
680000-0 - Supplies	0	2,969	2,969
670230-0 - Client food	0	5,000	5,000
700080-0 - Direct billed space cost	0	1,000	1,000
970000-0 - Indirect cost((IDC) allocation	0	1,231	1,231

Global Plus Sum of Unapproved ATBs Report

Company : 1-Cherokee Nation

Global Plan : FY2012_MOD

Report Data updated as of:

Accounting Unit : 1010280-General Fund Operations

Currency : USD-US Dollars

01/30/2012 03:45:14 PM

As of Period : Period 12-2012

Scale : Single

Reporting Server Time zone is set to:

Display Accounts : Posting Accounts Only

(GMT -6:00) Central Standard Time

	Global	ATB	TOTAL
1 - Cherokee Nation	-23,574,374	58,576	-23,515,798
TRIBAL - Tribal	-23,574,374	58,576	-23,515,798
EXEC_BRANCH - Executive Branch Summary	-23,574,374	58,576	-23,515,798
1010280 - General Fund Operations	-23,574,374	58,576	-23,515,798
440000-0 - Investment Revenue	-250,000	0	-250,000
460000-0 - Dividends from Component Units	-28,500,000	0	-28,500,000
650000-0 - Contract services >=\$5K	6,300	0	6,300
710010-0 - Property insurance	80,000	0	80,000
710040-0 - General liability insurance	41,000	0	41,000
760020-0 - Bank service charge	25,000	0	25,000
760070-0 - Unallowable costs	50,000	58,576	108,576
970000-0 - Indirect cost(IDC): allocation	16,613	0	16,613
900010-0 - Cash in: tribally required	-1,042,211	0	-1,042,211
900011-0 - Cash out: tribally required	6,540,448	0	6,540,448
900050-0 - Cash in: vehicle tax	-541,524	0	-541,524

Global Plus Sum of Unapproved ATBs Report

Company : 1-Cherokee Nation

Global Plan : FY2012_MOD

Report Data updated as of:

Accounting Unit : 1010290-Contingencies Reserves

Currency : USD-US Dollars

01/30/2012 03:45:14 PM

As of Period : Period 12-2012

Scale : Single

Reporting Server Time zone is set to:

Display Accounts : Posting Accounts Only

(GMT -6:00) Central Standard Time

	Global	ATB	TOTAL
1 - Cherokee Nation	-4,085,724	-58,576	-4,144,300
TRIBAL - Tribal	-4,085,724	-58,576	-4,144,300
EXEC_BRANCH - Executive Branch Summary	-4,085,724	-58,576	-4,144,300
1010290 - Contingencies Reserves	-4,085,724	-58,576	-4,144,300
490000-0 - Carryover: "appropriated" PY	-7,248,913	0	-7,248,913
760060-0 - Reserved by appropriation	2,778,189	-58,576	2,719,613
900011-0 - Cash out: tribally required	385,000	0	385,000

Global Plus Sum of Unapproved ATBs Report

Company : 1-Cherokee Nation
Accounting Unit : 1010700-Tribal Council
As of Period : Period 12-2012
Display Accounts : Posting Accounts Only

Global Plan : FY2012_MOD
Currency : USD-US Dollars
Scale : Single

Report Data updated as of:
 01/30/2012 03:45:14 PM
Reporting Server Time zone is set to:
 (GMT -6:00) Central Standard Time

	Global	ATB	TOTAL
1 - Cherokee Nation	1,643,630	0	1,643,630
TRIBAL - Tribal	1,643,630	0	1,643,630
LEGISLATIVE - Legislative Branch Summary	1,643,630	0	1,643,630
1010700 - Tribal Council	1,643,630	0	1,643,630
490000-0 - Carryover: "appropriated" PY	0	-102,204	-102,204
600000-0 - Salaries & wages	471,914	0	471,914
610000-0 - Fringe benefits	34,087	0	34,087
610010-0 - Fringe - Legislative/Judicial	92,451	0	92,451
680000-0 - Supplies	32,500	0	32,500
620000-0 - Staff development & training	30,000	0	30,000
630000-0 - Travel-staff	75,000	0	75,000
640000-0 - Contract services < \$5K	15,000	0	15,000
650000-0 - Contract services >=\$5K	100,000	0	100,000
650010-0 - Legal services >=\$5K	110,000	0	110,000
670000-0 - Client services	22,500	0	22,500
670050-0 - General assistance	204,000	102,204	306,204
690020-0 - Cellular/mobile phone	30,000	0	30,000
690060-0 - Mailing cost	50,000	0	50,000
690080-0 - Direct billed: telephone expense	3,000	0	3,000
690090-0 - Direct billed: cell/mobile phone	250	0	250
690120-0 - Direct billed: mailing cost	2,000	0	2,000
690130-0 - Direct billed: printing/copying	2,000	0	2,000
690500-0 - Lease/rent: furniture & equip	20,000	0	20,000
700080-0 - Direct billed: space cost	23,000	0	23,000
710120-0 - Direct billed: general liab ins	14,000	0	14,000
720040-0 - Employee mileage reimbursement	25,500	0	25,500
730040-0 - R & m equipment	1,000	0	1,000
740000-0 - Advertising	6,000	0	6,000
750000-0 - Contributions & donations	20,000	0	20,000
760010-0 - Other operational	73,466	0	73,466
760012-0 - Food	3,000	0	3,000

	Global	ATB	TOTAL
970000-0 - Indirect cost(IDC): allocation	140,962	0	140,962
770000-0 - Capital acquisitions >= \$5K	30,000	0	30,000
900011-0 - Cash out: tribally required	12,000	0	12,000

970000-0 - Indirect cost(IDC): allocation
770000-0 - Capital acquisitions >= \$5K
900011-0 - Cash out: tribally required

CHEROKEE NATION - FY2012 BUDGET REQUEST FORM

PART-1

Budget Period:	10/1/11-9/30/2012	Budget Preparer	Phone:	x5573
Contract Period:	10/1/11-9/30/2012	Name:	Doug Evans	
Contract Number:		Accounting Unit Director/Manager	Phone:	
Accounting Fund:	1-General Fund	Name:		
Funding Source:	01-Cherokee Nation	Group Leader	Phone: x5573	
AJ Description:	Tribal Council	Name:	Doug Evans	
Accounting Unit:	1010700	1st Person Responsible		
Place IDC Rate in Part 4 Below		Employee #		
		SBC Agreement:	Phone:	
		Name:		

Date/Time Printed: 30-Jan-12 03:35 PM

Notes: To bring forward prior year elective travel unexpended balances per LP-01-09 into community assistance.

PART-2

Staffing Summary:	FY 2012 REVISION 1	FY 2012 ORIG REQUEST	Incr \ (Decr)
# of Regular Full-Time Employee Equivalents:			-
# of Regular Part-Time Employee Equivalents:			-
# of Temp. Full-Time Employee Equivalents:			-
# of Temp. Part-Time Employee Equivalents:			-
# of Other Employee Equivalents:			-
TOTAL NUMBER OF EMPLOYEE-EQUIVALENTS	-	-	-

PART-3

Revenues: (Show as positive #)	Account #		Incr \ (Decr)
Carryover: "appropriated" PY	490000	\$102,204	\$ 102,204
Please enter a valid account number - >>>			\$ -
Please enter a valid account number - >>>			\$ -
Total Revenues		\$ 102,204	\$ 102,204

PART-4

Expenditures:	Account #	Subject to IDC ?		Subject to IDC ?		Incr \ (Decr)
		YES	NO	YES	NO	
Salaries & wages	600000	\$471,914		\$471,914		\$ -
Fringe benefits	610000	\$34,087		\$34,087		\$ -
Fringe - Legislative/Judicial	610010	\$92,451		\$92,451		\$ -
Staff development & training	620000	\$30,000		\$30,000		\$ -
Travel-staff	630000	\$75,000		\$75,000		\$ -
Contract services < \$5K	640000	\$15,000		\$15,000		\$ -
Contract services >=\$5K	650000		\$100,000		\$100,000	\$ -
Legal services >=\$5K	650010		\$110,000		\$110,000	\$ -
Client services	670000	\$22,500		\$22,500		\$ -
General assistance	670050		\$306,204		\$204,000	\$ 102,204
Supplies	680000	\$32,500		\$32,500		\$ -
Cellular/mobile phone	690020	\$30,000		\$30,000		\$ -
Mailing Cost	690060	\$50,000		\$50,000		\$ -
Allocated: telephone expense	690080	\$3,000		\$3,000		\$ -
Allocated: cell/mobile phone	690090	\$250		\$250		\$ -
Allocated: mailing cost	690120	\$2,000		\$2,000		\$ -
Allocated: printing/copying	690130	\$2,000		\$2,000		\$ -
Lease/rent: furniture & equip	690500	\$20,000		\$20,000		\$ -
Allocated: space cost	700080	\$23,000		\$23,000		\$ -
Allocated: general liab ins	710120	\$14,000		\$14,000		\$ -
Employee mileage reimbursement	720040	\$25,500		\$25,500		\$ -
R & m equipment	730040	\$1,000		\$1,000		\$ -
Advertising	740000	\$6,000		\$6,000		\$ -
Contributions & donations	750000		\$20,000		\$20,000	\$ -
Other operational	760010	\$73,466		\$73,466		\$ -
Food	760012	\$3,000		\$3,000		\$ -
Capital acquisitions >= \$5K	770000		\$30,000		\$30,000	\$ -
Expenditures NOT Subject to IDC			\$ 566,204		\$ 464,000	\$ 102,204
Expenditures SUBJECT to IDC		\$ 1,026,668		\$ 1,026,668		\$ -
Indirect Cost Rate (if blank or zero, must explain in Notes above)		13.73%		13.73%		
Indirect Cost Allocation	970000	\$ 140,962		\$ 140,962		\$ -
Total Expenditures			\$ 1,733,834		\$ 1,631,630	\$ 102,204
Revenues OVER \ (UNDER) Expenditures			\$ (1,631,630)		\$ (1,631,630)	\$ -

Transfers In/Out - (Show ALL as Positive Numbers)

Operating Transfers IN						
Other financing sources	900000					\$ -
Cash in: tribally required	900010					\$ -
Cash in: grant required	900020					\$ -
Cash in: motor fuel tax	900040					\$ -
Cash in: vehicle tax	900050					\$ -
Cash in: interprogram contract	900060					\$ -
Operating Transfers OUT						
Other financing uses	900001					\$ -
Cash out: tribally required	900011		\$12,000		\$12,000	\$ -
Cash out: grant required	900021					\$ -
Cash out: motor fuel tax	900041					\$ -
Cash out: vehicle tax	900051					\$ -
Cash out: interprogram contract	900061					\$ -
Transfers In/Out - Net			\$ (12,000)		\$ (12,000)	\$ -
Take to Narrative ==>			\$ 1,745,834		\$ 1,643,630	\$ -
Excess(Deficit) of Revenues, Expenditures and Net Transfers			\$ (1,643,630)		\$ (1,643,630)	\$ -

Council of the Cherokee Nation
FY2011
Elective Travel Summary - by Councilor

Prepared by:
 G. Miller

Official / Elective		Elective							Balance
COUNCILOR	Total Cost	Airfare	Lodging	Per Diem	Mileage	Other	Cap	Balance	
1-Bill John Baker	\$ 84.80	\$ -	\$ 84.80	\$ -	\$ -	\$ -	\$ 7,500.00	\$ 7,415.20	
1-David Walkingstick	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
1-Joe Byrd	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
1-Tina Glory-Jordan	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7,500.00	\$ 7,500.00	
2-Curtis Snell	\$ 2,372.70	\$ 1,198.10	\$ 585.54	\$ 356.00	\$ 120.74	\$ 112.32	\$ 7,500.00	\$ 5,127.30	
2-Frankie Hargis	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
2-Harley Buzzard	\$ 1,743.30	\$ 520.30	\$ 495.00	\$ 317.50	\$ 336.08	\$ 74.42	\$ 7,500.00	\$ 5,756.70	
2-Jodie Fishinghawk	\$ 4,206.78	\$ 1,126.10	\$ 1,759.09	\$ 568.00	\$ 379.36	\$ 374.23	\$ 7,500.00	\$ 3,293.22	
2-Joe Crittenden	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7,500.00	\$ 7,500.00	
3-David W. Thornton, Sr.	\$ 1,310.37	\$ -	\$ 502.60	\$ 385.50	\$ 422.27	\$ -	\$ 7,500.00	\$ 6,189.63	
3-Don Garvin	\$ 3,872.05	\$ 848.20	\$ 1,643.40	\$ 571.50	\$ 614.76	\$ 194.19	\$ 7,500.00	\$ 3,627.95	
3-Janelle Fullbright	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7,500.00	\$ 7,500.00	
4-Chris Soap	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7,500.00	\$ 7,500.00	
4-Chuck Hoskin, Jr.	\$ 1,330.79	\$ -	\$ 360.00	\$ 252.00	\$ 708.81	\$ 9.98	\$ 7,500.00	\$ 6,169.21	
4-Dick Lay	\$ 219.80	\$ -	\$ 219.80	\$ -	\$ -	\$ -	\$ -	\$ (219.80)	
4-Meredith Frailey	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7,500.00	\$ 7,500.00	
5-Brad Cobb	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7,500.00	\$ 7,500.00	
5-Buel Anglen	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7,500.00	\$ 7,500.00	
5-Cara Cowan Watts	\$ 1,099.94	\$ 417.80	\$ 145.77	\$ 204.50	\$ 295.87	\$ 36.00	\$ 7,500.00	\$ 6,400.06	
5-Lee Keener	\$ 1,713.77	\$ 417.80	\$ 993.87	\$ 177.50	\$ 27.58	\$ 97.02	\$ -	\$ (1,713.77)	
AL-Jack Baker	\$ 3,689.93	\$ 1,233.40	\$ 1,838.13	\$ 317.50	\$ 283.90	\$ 17.00	\$ 7,500.00	\$ 3,810.07	
AL-Julia Coates	\$ 3,651.36	\$ 1,157.78	\$ 1,202.58	\$ 603.50	\$ 415.31	\$ 272.19	\$ 7,500.00	\$ 3,848.64	
Grand Total	\$ 25,295.59	\$ 6,919.48	\$ 9,830.58	\$ 3,753.50	\$ 3,604.68	\$ 1,187.35	\$ 127,500.00	\$ 102,204.41	

To Byrd

To Walkingstick

To Hargis

To Lay

To Keener

07M1010900-01

Global Plus Sum of Unapproved ATBs Report

Company : 1-Cherokee Nation

Accounting Unit : 1010900-Contract Health Servi Dividend

Global Plan : FY2012_MOD

Report Data updated as of:

As of Period : Period 12-2012

Currency : USD-US Dollars

12/05/2011 03:22:19 PM

Display Accounts : Posting Accounts Only

Scale : Single

Reporting Server Time zone is set to:

(GMT -6:00) Central Standard Time

	Global	ATB	TOTAL
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1 - Cherokee Nation	0	0	0
CHS_DIVIDEND - Contract Health Servi Dividend	0	0	0
CHS_DIVIDEN_SUM - Contract Health Servi Divid Sum	0	0	0
1010900 - Contract Health Servi Dividend	0	0	0
460000-0 - Dividends from Component Units	0	-4,354,000	-4,354,000
650050-0 - Contract health service >= \$5K	0	4,354,000	4,354,000

An Act

Legislative Act 25-11

**AN ACT RELATING TO AMENDMENT OF L.A. 16-96,
THE CHEROKEE NATION GENERAL CORPORATION ACT,
AS AMENDED BY L.A. 2-03, L.A. 4-04 AND L.A. 36-05
INCREASING DIVIDENDS, PROVIDING FOR SEVERABILITY
AND DECLARING AN EMERGENCY**

BE IT ENACTED BY THE CHEROKEE NATION:

Section 1. Title and Codification

This Legislative Act shall be titled as the "Cherokee Nation Corporation Health Dividend Act of 2011" and codified under Title 18 Article 3 Section 18 of the Cherokee Nation Code Annotated ("CNCA").

Section 2. Purpose

The purpose of this Act is to increase the monthly dividend of corporation in which the Cherokee Nation is the sole or majority shareholder by Five Percent (5%) with said percentage being set aside exclusively for health related matters.

Section 3. Legislative History

L.A. 16-96
L.A. 2-03
L.A. 4-04
L.A. 36-05

Section 4. Substantive Provisions

Article 3, Section 18 of Legislative Act 16-96 is hereby amended so as to read:

18. Those for-profit Corporations in which the Cherokee Nation is the sole or majority shareholder, and that are incorporated under Cherokee Nation law, shall issue a monthly cash dividend in the amount of ~~30%~~ 35% of net income. Five Percent of said dividend will be set aside exclusively for contract health services for Cherokee Nation Citizens, including, but not limited to, eyeglasses, dentures, prosthesis, cancer treatments and hearing aids provided the amount of increase (over the current 30%) is conditioned upon CNE and CNB remaining in compliance with the financial covenants of any credit



agreement and guaranty. In addition, the Board of Directors of such Corporations will have the discretion to declare any special quarterly dividend that they deem appropriate. Funds expended under this Section shall be expended to Cherokee Nation citizens who reside anywhere within the fourteen county jurisdictional area.

Section 5. Provisions as Cumulative

The provisions of this act shall be cumulative to existing law.

Section 6. Severability

The provisions of this act are severable and if any part of provision hereof shall be held void the decision of the court so holding shall not affect or impair any of the remaining parts or provisions of this act.

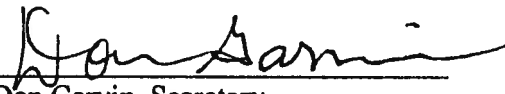
Section 7. Emergency Declared

It being immediately necessary for the welfare of the Cherokee Nation, the Council hereby declares that an emergency exists, by reason whereof this act shall take effect and be in full force after its passage and approval.

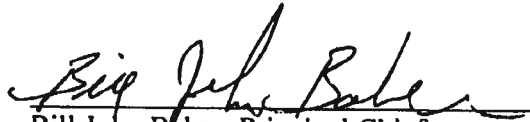
Enacted by the Council of the Cherokee Nation on the 14th day of November 2011.


Meredith Frailey, Speaker
Council of the Cherokee Nation


ATTEST:


Don Garvin, Secretary
Council of the Cherokee Nation

Approved and signed by the Principal Chief this 17 day of November, 2011.
1:26


Bill John Baker, Principal Chief
Cherokee Nation

ATTEST:


Melanie Knight, Secretary of State
Cherokee Nation

YEAS AND NAYS AS RECORDED:

Vacant Seat (District 1)	<u>n/a</u>	Dick Lay	<u>Yea</u>
Tina Glory Jordan	<u>Yea</u>	Meredith A. Frailey	<u>Yea</u>
David Walkingstick	<u>Yea</u>	Chuck Hoskin, Jr.	<u>Yea</u>
Vacant Seat (District 2)	<u>n/a</u>	Buel Anglen	<u>Yea</u>
Jodie Fishinghawk	<u>Yea</u>	Cara Cowan Watts	<u>Nay</u>
Curtis Snell	<u>Yea</u>	Lee Keener, Jr.	<u>Nay</u>
Don Garvin	<u>Yea</u>	Julia Coates	<u>Nay</u>
Janelle Lattimore Fullbright	<u>Yea</u>	Jack Baker	<u>Nay</u>
David W. Thornton, Sr.	<u>Yea</u>		

Global Plus Sum of Unapproved ATBs Report

11-M-1012348-02

Company : 1-Cherokee Nation
Accounting Unit : 1012348-Veterans Center
As of Period : Period 12-2012
Display Accounts : Posting Accounts Only

Report Data updated as of: 12/12/2011 10:54:19 AM
Reporting Server Time zone is set to: (GMT -6:00) Central Standard Time

Global Plan : FY2012_MOD
Currency : USD-US Dollars
Scale : Single

	Global	ATB	TOTAL
1 - Cherokee Nation	0	0	0
TRIBAL - Tribal	0	0	0
MAINT_PROJECTS - Maint. Projects	0	0	0
1012348 - Veterans Center	0	0	0
480010-0 - Contributions & donations	-7,350	3,010	-4,340
490000-0 - Carryover. "appropriated" PY	-224,284	-12,250	-236,534
770000-0 - Capital acquisitions >= \$5K	231,634	9,240	240,874

04M 2041055_01

Global Plus Sum of Unapproved ATBs Report

Company : 1-Cherokee Nation
Accounting Unit : 2041055-Employee Appeals Board
As of Period : Period 12-2012
Display Accounts : Posting Accounts Only

Global Plan : FY2012_MOD
Currency : USD-US Dollars
Scale : Single

Report Data updated as of: 01/03/2012 06:06:52 PM
Reporting Server Time zone is set to: (GMT -6:00) Central Standard Time

	Global	ATB	TOTAL
1 - Cherokee Nation	33,075	56,305	89,380
IDC_POOL - Indirect Cost Pool	33,075	56,305	89,380
IDC_POOL_SUM - Indirect Cost Pool Summary	33,075	56,305	89,380
2041055 - Employee Appeals Board	33,075	56,305	89,380
600000-0 - Salaries & wages	5,741	0	5,741
610000-0 - Fringe benefits	1,682	0	1,682
680000-0 - Supplies	4,972	0	4,972
620000-0 - Staff development & training	700	0	700
630000-0 - Travel-staff	400	0	400
640000-0 - Contract services < \$5K	17,000	55,755	72,755
690000-0 - Communication & reproduction	400	0	400
690080-0 - Direct billed: telephone expense	350	0	350
690090-0 - Direct billed: cell/mobile phone	450	0	450
690120-0 - Direct billed: mailing cost	450	0	450
690130-0 - Direct billed: printing/copying	450	550	1,000
700000-0 - Building rent/lease	480	0	480

Increase Amt.

Doug Evans

Subject: FW: Rules agenda item #16 / APA Amendment
Attachments: 04_2041055_12_01.xlsm

-----Original Message-----

From: Laura Adair [mailto:Laura-Adair@cherokee.org]
Sent: Friday, December 23, 2011 7:24 AM
To: Doug Evans
Subject: RE: Rules agenda item #16 / APA Amendment

Doug,

Decided to work this up this morning because I think the deadline to submit budget mods is next Wednesday and I couldn't sleep. I will submit through LBP as instructed but I've attached the paper copy for your information, the bottom line once entered may differ slightly, LBP kinda has a life of its own. As clerk of this board, it's just another duty as assigned but I do charge my time to this AU because my salary has been grant funded. Due to the recent increase in appeals I've had to solicit an intern to help and charge more time to this AU. I've increased my percentage by 10% but it is not added to salaries because of the domino effect which would cause me to have to mod other CNEP budgets. That amount has been added to contract services. During the first quarter of FY12, there has been 5 employee appeals filed, if this trend continues that would be 20 employee appeals in FY12. I usually receive one TERO appeal per month. So this budget reflects an increase in contract services of \$55,755 - Total \$72,755.

32 appeals X \$1800 = \$57,600

600 per month stipend X 12 = \$7,200

10% additional time = \$7,955

TOTAL = \$72,755

Slight increase in reproduction costs

This is a worst case scenario, if the appeals are not filed, then the budget will not be expended unless I am directed otherwise by my supervisor and I think that person is Chuck Hoskin Sr. in my capacity as AAB Clerk. Let me know if you need any additional information. I hope you and your family have a very Merry Christmas.

Laura

From: Doug Evans [Doug-Evans@cherokee.org]
Sent: Wednesday, December 21, 2011 11:35 AM
To: Laura Adair
Subject: FW: Rules agenda item #16 / APA Amendment

Laura,

Can you provide a budget impact to the Employee Appeals Board (based on the following change to number of people hearing an appeal? (AU: 2041055) You may have already submitted a budget mod for the increase needed to implement the change of procedure. If so, please disregard.

Global Plus Sum of Unapproved ATBs Report

02-M 1054100-01

Company : 1-Cherokee Nation **Global Plan :** FY2012_MOD **Report Data updated as of:** 12/22/2011 02:20:39 PM
Accounting Unit : 1054100-MVT Local Law Enforcement **Currency :** USD-US Dollars **Reporting Server Time zone is set to:**
As of Period : Period 12-2012 **Scale :** Single (GMT -6:00) Central Standard Time
Display Accounts : Posting Accounts Only

	Global	ATB	TOTAL
1 - Cherokee Nation	0	0	0
MVT_LOCAL_LAW_E - MVT: Local Law Enforcement	0	0	0
MVT_LOCAL_LAW_S - MVT: Local Law Enforcement	0	0	0
Sum			
1054100 - MVT Local Law Enforcement	0	0	0
490000-0 - Carryover: "appropriated" PY	-209,315	-49,156	-258,471
650000-0 - Contract services >=\$5K	209,315	49,156	258,471

FY 2011 MVT Allocation		AU 1054100	
FY 2011 Available		209,314.78	
FY 2011 Expenses		203,047.62	
FY 2011 Carryover		6,267.16	
FY 2011 MVT Allocation		252,202.94	
FY 2012 Available		258,470.10	



2011	1050000	CN Tax Comm	Tag Office	700000	Building rent/lease	660.00	Tag Exp			
2011	1050000	CN Tax Comm	Tag Office	700010	Utilities	3,769.76	Tag Exp			
2011	1050000	CN Tax Comm	Tag Office	700020	Electric	2,032.86	Tag Exp			
2011	1050000	CN Tax Comm	Tag Office	700040	Gas - Nat/LP	706.93	Tag Exp			
2011	1050000	CN Tax Comm	Tag Office	700080	Direct billed: space cost	86,118.54	Tag Exp			
2011	1050000	CN Tax Comm	Tag Office	710090	Direct billed: property insura	653.82	Tag Exp			
2011	1050000	CN Tax Comm	Tag Office	710100	Direct billed: auto insurance	969.41	Tag Exp			
2011	1050000	CN Tax Comm	Tag Office	720030	R & m vehicle	754.95	Tag Exp			
2011	1050000	CN Tax Comm	Tag Office	720040	Employee mileage reimbursement	2,722.06	Tag Exp			
2011	1050000	CN Tax Comm	Tag Office	720050	Direct billed: GSA vehicle	823.99	Tag Exp			
2011	1050000	CN Tax Comm	Tag Office	720070	Direct billed: gas cards	3,476.58	Tag Exp			
2011	1050000	CN Tax Comm	Tag Office	730000	Building maintenance	14,212.98	Tag Exp			
2011	1050000	CN Tax Comm	Tag Office	730020	Grounds maintenance	1,974.00	Tag Exp			
2011	1050000	CN Tax Comm	Tag Office	740000	Advertising	179.00	Tag Exp			
2011	1050000	CN Tax Comm	Tag Office	760010	Other operational	23,311.99	Tag Exp			
2011	1050000	CN Tax Comm	Tag Office	760012	Food	487.00	Tag Exp			
2011	1050000	CN Tax Comm	Tag Office	760020	Bank service charge	67,200.64	Tag Exp			
2011	1050000	CN Tax Comm	Tag Office	760030	Cash over & short	(6.50)	Tag Exp			
2011	1050000	CN Tax Comm	Tag Office	970000	Indirect cost(IDC): allocation	265,685.35	Tag Exp			
2011	1050000	CN Tax Comm	Tag Office	990100	Prior year income	1,404.54	Tag Exp			
						<u>(6,197,221.57)</u>				
									0.980108909	% of Total Revenue
									<u>(168,409.46)</u>	% of Total Revenue
									8,466,577.31	
									2,269,355.74	
									2,224,215.78	Pro-rata for MVT Revenue
									45,139.96	Pro-rata for Other Income
									3,153,303.78	
									1,659,633.57	165,963.36
										10%
									<u>2,224,215.78</u>	90%
									1,261,014.72	
									252,202.94	
									157,665.19	
									974,416.08	* Remaining MVT Revenues & Other Income
										less Pro-Rata of Exp for Other Income
									<u>5,222,805.49</u>	

★

20-M1082400_03

Global Plus Sum of Unapproved ATBs Report

✓

Company : 1-Cherokee Nation
Accounting Unit : 1082400-Proceeds of Sale
As of Period : Period 12-2012
Display Accounts : Posting Accounts Only
Global Plan : FY2012_MOD
Currency : USD-US Dollars
Scale : Single
Report Data updated as of: 12/21/2011 02:06:16 PM
Reporting Server Time zone is set to: (GMT -6:00) Central Standard Time

	Global	ATB	TOTAL
1 - Cherokee Nation	0	0	0
HOUSING_PROCEED - Housing Proceeds	0	0	0
HSG_PROCEED_SUM - Housing Proceeds Sum	0	0	0
1082400 - Proceeds of Sale	0	0	0
470120-0 - Insurance income	-950,000	850,000	-100,000
490000-0 - Carryover. "appropriated" PY	0	-1,145,619	-1,145,619
499040-0 - Income received from HACN	-800,000	0	-800,000
600000-0 - Salaries & wages	232,799	0	232,799
610000-0 - Fringe benefits	68,211	0	68,211
680000-0 - Supplies	26,000	0	26,000
640000-0 - Contract services < \$5K	15,000	0	15,000
640010-0 - Legal services < \$5K	2,500	0	2,500
650000-0 - Contract services >=\$5K	1,079,503	295,619	1,375,122
670000-0 - Client services	46,000	0	46,000
690000-0 - Communication & reproduction	500	0	500
690060-0 - Mailing cost	15,500	0	15,500
690080-0 - Direct billed telephone expense	300	0	300
690090-0 - Direct billed: cell/mobile phone	1,400	0	1,400
700010-0 - Utilities	23,750	0	23,750
700020-0 - Electric	300	0	300
700030-0 - Water	479	0	479
700040-0 - Gas - Nat/LP	300	0	300
700060-0 - Sewer	25,000	0	25,000
710010-0 - Property insurance	120,000	0	120,000
710100-0 - Direct billed auto insurance	1,000	0	1,000
720030-0 - R & m vehicle	918	0	918
720070-0 - Direct billed. gas cards	800	0	800
730000-0 - Building maintenance	3,800	0	3,800
730020-0 - Grounds maintenance	1,800	0	1,800
740000-0 - Advertising	1,500	0	1,500
760020-0 - Bank service charge	1,500	0	1,500

20-M 1082400-03

	Global	ATB	TOTAL
760025-0 - Filing fees	200	0	200
760030-0 - Cash over & short	200	0	200
970000-0 - Indirect cost((IDC): allocation	80,740	0	80,740

Trial Balance

GL291 - Date 12/20/11
Time 16:36

Company 1 - Cherokee Nation
Trial Balance
For Period 1 Ending October 31, 2011

USD
Base Currency
Amounts
Fiscal Year 2012

Account Nbr	Description	1082990	Housing Proceeds Sys AU	101613	Debit Activity	Credit Activity	Ending Balance
200500-0000	Auto due to/from			1,566,772.17			1,541,539.44
340000-0000	Fund Balance Reserved			1,145,618.91-	221,617.84		1,145,618.91-
***	Totals			421,153.26	221,617.84		395,920.53
***	Out Of Balance				25,232.73		



Global Plus Sum of Unapproved ATBs Report

12-M 4109030-01

Company : 1-Cherokee Nation
Accounting Unit : 4109030-Commercial Loan Fund
As of Period : Period 12-2012
Display Accounts : Posting Accounts Only
Global Plan : FY2012_MOD
Currency : USD-US Dollars
Scale : Single
Report Data updated as of: 11/29/2011 05:09:26 PM
Reporting Server Time zone is set to: (GMT -6:00) Central Standard Time

	Global	ATB	TOTAL
1 - Cherokee Nation	31,743	-665,500	-633,757
MENT_LOAN_FUN - Micro-Enterprise Loan Fund	31,743	-665,500	-633,757
MENT_LOAN_SUM - Micro-Enterprise Loan Fund Sum	31,743	-665,500	-633,757
4109030 - Commercial Loan Fund	31,743	-665,500	-633,757
440010-0 - Interest income	-900	-10,000	-10,900
441000-0 - Interest income - loans/notes	-27,648	-3,000	-30,648
499021-0 - Loan processing fee income	-2,748	0	-2,748
760050-0 - Bad debts	63,039	72,500	135,539
900020-0 - Cash in grant required	0	-725,000	-725,000

Global Plus Sum of Unapproved ATBs Report

Company : 1-Cherokee Nation

Global Plan : FY2012_MOD

Report Data updated as of:

Accounting Unit : 321000-DOI IRR Roads Administration

01/11/2012 12:34:51 PM

As of Period : Period 12-2012

Currency : USD-US Dollars

Reporting Server Time zone is set to:
(GMT -6:00) Central Standard Time

Display Accounts : Posting Accounts Only

Scale : Single

	Global	ATB	TOTAL
1 - Cherokee Nation	0	0	0
IRR_ADMIN_RDS - DOI/IRR - Roads Administration	0	0	0
IRR_ADMIN_SUM - DOI/IRR - Roads Admin Summary	0	0	0
321000 - DOI IRR Roads Administration	0	0	0
400000-0 - Grants / contracts revenue	-41,838	-123,579	-165,417
440010-0 - Interest income	-137,368	0	-137,368
600000-0 - Salaries & wages	27,296	0	27,296
610000-0 - Fringe benefits	7,998	0	7,998
650000-0 - Contract services >=\$5K	0	123,579	123,579
710100-0 - Direct billed: auto insurance	171	0	171
720050-0 - Direct billed: GSA vehicle	1,322	0	1,322
970000-0 - Indirect cost(IDC): allocation	5,051	0	5,051
900011-0 - Cash out: tribally required	137,368	0	137,368

165,417.84
 35,932,207.84
 56,037,624.84

CHEROKEE NATION AUDIT WORKSHEET

COMPONENT NAME: DOI/IRR Roads
 Accounting Unit 3210000, 3211000
 GRANT NUMBER: Various
 GRANT PERIOD: 10/01/00
 GRANT AGENCY: Department of the Interior
 ACCOUNTANT: Sandra Snell
 PREPARED BY: Sandra Snell
 REVIEWED BY: Angie Taylor
 CFDA No 20.200

	GRANT HISTORY		Transportation Planning
GRANT PERIOD	TOTAL		
New Awards:			
FY 99 Reprogramming	0.00		
FY 00	5,758,468.00		120,660.00
FY 01	6,907,832.00		154,965.00
FY 02	8,934,122.00		192,298.00
FY 03	8,642,445.00		166,045.00
FY 04	7,521,066.00		160,336.00
FY 05	6,817,330.00		163,622.00
FY 06	16,663,091.90		272,365.00
FY 07	11,606,130.00		276,966.00
FY 08	12,473,698.00		302,201.00
FY 08 Reprogramming	0.00		0.00
FY 09	14,040,350.00		343,404.00
FY 09 Reprogramming	0.00		
FY 10 Reprogramming	0.00		
TOTAL GRANT AMOUNT	99,364,532.90		2,152,862.00
AMOUNT RECEIVED			
FY 99 Reprogramming	0.00		
FY 00	5,486,059.00		0.00
FY 01	6,861,958.00		275,609.00
FY 02	8,752,780.00		192,314.00
FY 03	9,142,070.00		166,045.00
FY 04	7,521,066.00		160,336.00
FY 05	6,817,330.00		163,622.00
FY 06	16,663,091.90		272,365.00
FY 07	11,606,130.00		276,966.00
FY 08	11,444,344.00		277,961.00
FY 08 Reprogramming	0.00		
FY 09	15,069,704.00		367,644.00
FY 09 Reprogramming	0.00		
FY 10 Reprogramming	0.00		
TOTAL RECIEPTS	99,364,532.90		2,152,862.00
OTHER RECEIPTS			
FY 00	0.00		0.00
FY 01	1,252.04		0.00
FY 02	4,549.50		4,549.50
FY 03	2,907.75		2,007.75
FY 04	3,009.70		2,470.20
FY 05	560,815.35		560,815.35
FY 06	1,294,684.40		1,293,015.20
FY 07	1,818,726.28		1,816,926.28
FY 08	1,248,559.36		1,248,159.36
FY 09	365,301.01		363,801.01
FY 10	286,187.16		285,362.16
FY 11	183,349.24		183,349.24
TOTAL OTHER RECEIPTS	5,769,341.79		5,760,456.05
EXPENDITURES			
FY 00	34,401.46		
FY 01	887,845.53		55,563.32
FY 02	1,963,027.31		126,062.53
FY 03	5,060,446.33		212,939.52
FY 04	4,267,211.47		202,475.68
FY 05	3,522,291.50		772,589.36
FY 06	12,010,942.11		1,710,435.55
FY 07	10,035,974.11		2,040,826.53
FY 08	19,963,802.17		1,353,551.10
FY 09	5,713,789.41		501,559.40
FY 10	2,627,984.28		451,585.53
FY 11	2,948,534.47		320,312.44
TOTAL EXPENDITURES	69,036,250.15		7,747,900.96
UNEXPENDED BALANCE	36,097,624.54	← ->	165,417.09
GRANT REC / (PAY)	(36,097,624.54)		(165,417.09)

3210000
 3211000
 = \$ 35,932,207.45

0.00 UNEXPENDED DIFFERENCE
 0.00 PAYABLE DIFFERENCE

08_M322140_01

Global Plus Sum of Unapproved ATBs Report

Company : 1-Cherokee Nation

Accounting Unit : 3221140-Energy and Mineral Development

As of Period : Period 12-2012

Display Accounts : Posting Accounts Only

Global Plan : FY2012_MOD

Currency : USD-US Dollars

Scale : Single

Report Data updated as of:

12/30/2011 12:03:19 PM

Reporting Server Time zone is set to:

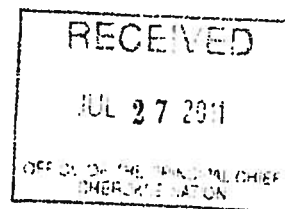
(GMT -6:00) Central Standard Time

	Global	ATB	TOTAL
1 - Cherokee Nation	0	0	0
DO1_SG_EARMARK - DOI SG Earmarked	0	0	0
DO1_SG_EMK_SUM - DOI SG Earmarked Summary	0	0	0
3221140 - Energy and Mineral Development	0	0	0
400000-0 - Grants / contracts revenue	0	-550,000	-550,000
650000-0 - Contract services >=\$5K	0	250,000	250,000
660050-0 - Subgrants >= \$5K	0	300,000	300,000



United States Department of the Interior

OFFICE OF THE SECRETARY
Washington, DC 20240



JUL 21 2011

Honorable Chadwick Smith
Chairman, Cherokee Nation
P.O. Box 948
Tahlequah, Oklahoma 74465

Dear Chairman Smith:

On behalf of the Division of Energy and Mineral Development (DEMD), I am pleased to inform you that your funding proposal for a 2011 Energy and Mineral Development Program grant has been approved for funding in the amount of \$550,000 for the following task items:

Model Study:

Corps Review and Coordination	\$50,000
Detailed Powerhouse Model Study	\$200,000
Movable Bed Model (Sedimentation Model) Study	\$300,000

This decision is final for the Department. Please contact your tribal financial office concerning the transfer of awarded funds. The funds may be transferred either through the Office of Self-Governance or a P.L. 93-638 contract or agreement.

If the tribe determines to process the funds through a P.L. 93-638 contract or grant agreement, it is critical that you work with your Awarding Official (Self-Determination Specialist) concerning the transfer of award funds through a P.L. 93-638 contract or grant agreement. The Awarding Official is responsible for negotiation of the official P.L. 93-638 contract or grant which authorizes the transfer of funds to the tribe to perform the project work. Until a fully executed award document is signed by both the Tribe and Awarding Official, no project work can begin. The Awarding Official is located at either the BIA Agency or Regional Office within the assigned location your Tribe falls under. If you need assistance with identifying your Awarding Official, please contact our office.

I have assigned a DEMD staff member to serve as project monitor. Your project monitor is Roger Knight, Petroleum Engineer, who can be contacted at (720) 407-0613. The project monitor will be available to discuss or advise on technical issues of the project. In addition, all written correspondence concerning the project should be addressed to the project monitor, including required quarterly progress reports. Requests for changes in the project scope or for an extension of time should also be addressed to the project monitor who will review the request and then provide comments and recommendations to the Awarding Official. The ultimate decision to approve or decline the request is the responsibility of the Awarding Official.

Section 103 of the Indian Self-Determination Act, (P.L. 93-638, as amended by P.L. 100-472) provides authority for the Energy and Mineral Development Program. Awards are discretionary and competitive, requiring established guidelines and paneling procedures to make the award of limited funds as fair as possible.

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Global Plus Sum of Unapproved ATBs Report

69 ML 3332000-21

Company : 1-Cherokee Nation **Global Plan :** FY2012_MOD **Report Data updated as of:**
Accounting Unit : 3332000-EHS Projects **Currency :** USD-US Dollars 12/29/2011 09:40:35 AM
As of Period : Period 12-2012 **Scale :** Single **Reporting Server Time zone is set to:**
Display Accounts : Posting Accounts Only (GMT -6:00) Central Standard Time

	Global	ATB	TOTAL
1 - Cherokee Nation	0	0	0
ENVIRON_HEALTH - Environmental Health Services	0	0	0
EHS_PROJECT_SUM - EHS Projects Summary	0	0	0
3332000 - EHS Projects	0	0	0
400000-0 - Grants / contracts revenue	-1,793,440	-1,146,460	-2,939,900
490000-0 - Carryover "appropriated" PY	-333,852	333,852	0
600000-0 - Salaries & wages	619,850	0	619,850
610000-0 - Fringe benefits	180,234	0	180,234
680000-0 - Supplies	30,000	0	30,000
640000-0 - Contract services < \$5K	30,000	41,608	71,608
650000-0 - Contract services >=\$5K	910,018	533,190	1,443,208
670000-0 - Client services	168,651	231,349	400,000
720050-0 - Direct billed GSA vehicle	153,539	6,461	160,000
730040-0 - R & m equipment	35,000	0	35,000
970000-0 - Indirect cost(IDC): allocation	167,132	38,364	205,496
970002-0 - Indirect cost (Contra)	-167,132	-38,364	-205,496

Amendments to AFA
 #1 - 1,043,000.*+
 #2 - 1,896,900.*+
 2,939,900.*

FY 2012 FUNDING AGREEMENT

between the

Cherokee Nation

and the

United States of America

Department of Health and Human Services

Obligation/Payment Authorization

Effective Date:	11/21/2011	Document Number:	60G930002-16-02	EIN #:	1730757033A1	
Sub-Sub Activity	Approp	CAN	Object Class	Previous Payments	Revised Payments	Increase (Decrease)
Hospitals & Clinics	7520390	J507523	4182	9,346,248	9,346,248	0
Dental	7520390	J507876	4182	1,123,332	1,123,332	0
Mental Health	7520390	J507524	4182	383,610	383,610	0
Alcohol & Substance Abuse	7520390	J507525	4182	441,795	441,795	0
Public Health Nursing	7520390	J507722	4182	371,102	371,102	0
Health Education	7520390	J507624	4182	77,056	77,056	0
Community Health Reps.	7520390	J507529	4182	313,961	313,961	0
Direct Operations	7520390	J505428	4182	195,422	195,422	0
Contract Supp Costs - Direct	7520390	J50D805	4181	605,594	605,594	0
Contract Supp Costs - Indirect	7520390	J50N805	4185	1,629,653	1,629,653	0
Self-Governance	7520390	J507948	4182	3,244	3,244	0
TOTAL, Services (Annual)				14,491,017	14,491,017	0
Contract Hlth Svs	75X0390	J50RK05	4182	2,350,858	2,350,858	0
Medicare	75X0390	J50U86M	4182	0	208	208
Other (See Remarks)	75X0390	J50U86P	4182	0	2,859	2,859
TOTAL, Services (No-year)				2,350,858	2,353,925	3,067
Environmental Health Support	75X0391	J50E022	4182	171,287	171,287	0
Facilities Support	75X0391	J50F020	4182	427,021	427,021	0
OEHE Support	75X0391	J50H003	4182	17,689	17,689	0
Maintenance & Improvement	75X0391	J50M015	4182	167,837	167,837	0
Sanitation Facilities - Housing	75X0391	J5009IH	4182	0	1,043,000	1,043,000
Equipment	75X0391	J50Q020	4182	85,742	85,742	0
TOTAL, Facilities				869,576	1,912,576	1,043,000
GRAND TOTAL				17,711,451	18,757,518	1,046,067

Remarks: Obligate and pay funds under Amendment #01

Area Fund Certification:

n/a

Area Office

Date

HQ Financial Certification:

Elizabeth A. Fowler

11/22/2011

HQ Office of Finance and Accounting

Date

Recommendation for Approval:

[Signature]
Office of Tribal Self-Governance

11/21/11
Date

Approved:

[Signature]
Director, Indian Health Service

11/22/11
Date



AMENDMENT NUMBER 01
to the FY 2012 Funding Agreement
between the
Cherokee Nation
and the
United States of America
Department of Health and Human Services

The Funding Agreement, 60G930002 , pursuant to Title V, Section 505 (e), Subsequent Funding Agreements, effective October 1, 2008, between the Cherokee Nation and the United States of America, Department of Health and Human Services, is hereby amended as follows:

SUB-SUB ACTIVITY	Previous FA Total	Increase (Decrease)	Amended FA Total
Medicare	\$0	\$208	\$208
Other (See Remarks)	\$0	\$2,859	\$2,859
Sanitation Facilities - Housing	\$0	\$1,043,000	\$1,043,000

**EFFECT ON FA
AMOUNT/PAYMENTS**

Total, FA Amount	\$134,292,120	\$1,046,067	\$135,338,187
Total, FA Retained Services	\$0	\$0	\$0
Total, Amount to be Rec'd	\$134,292,120	\$1,046,067	\$135,338,187

Remarks: The Funding Agreement is amended to add non-recurring Medicare \$208; add non-recurring Private Insurance \$2,859; and add non-recurring SFC Housing \$1,043,000.

Effective Date: November 21, 2011

Cherokee Nation

By: Tribal signature not required for this action per FA Section 7.5.2
Principal Chief

Date

United States of America
Department of Health and Human Services

By: [Signature]
Director, Indian Health Service

11/22/11
Date



FY 2012 FUNDING AGREEMENT

between the

Cherokee Nation

and the

United States of America

Department of Health and Human Services

Obligation/Payment Authorization

Effective Date:	11/23/2011	Document Number:	60G930002-16-03	EIN #:	1730757033A1	
Sub-Sub Activity	Approp	CAN	Object Class	Previous Payments	Revised Payments	Increase (Decrease)
Hospitals & Clinics	7520390	J507523	4182	9,346,248	9,346,248	0
Dental	7520390	J507876	4182	1,123,332	1,123,332	0
Mental Health	7520390	J507524	4182	383,610	383,610	0
Alcohol & Substance Abuse	7520390	J507525	4182	441,795	441,795	0
Public Health Nursing	7520390	J507722	4182	371,102	371,102	0
Health Education	7520390	J507624	4182	77,056	77,056	0
Community Health Reps.	7520390	J507529	4182	313,961	313,961	0
Direct Operations	7520390	J505428	4182	195,422	195,422	0
Contract Supp Costs - Direct	7520390	J50D805	4181	605,594	605,594	0
Contract Supp Costs - Indirect	7520390	J50N805	4185	1,629,653	1,629,653	0
Self-Governance	7520390	J507948	4182	3,244	3,244	0
TOTAL, Services (Annual)				14,491,017	14,491,017	0
Contract Hlth Svs	75X0390	J50RK05	4182	2,350,858	2,350,858	0
Medicare	75X0390	J50U86M	4182	208	208	0
Other (See Remarks)	75X0390	J50U86P	4182	2,859	2,859	0
TOTAL, Services (No-year)				2,353,925	2,353,925	0
Environmental Health Support	75X0391	J50E022	4182	171,287	171,287	0
Facilities Support	75X0391	J50F020	4182	427,021	427,021	0
OEHE Support	75X0391	J50H003	4182	17,689	17,689	0
Maintenance & Improvement	75X0391	J50M015	4182	167,837	167,837	0
Sanitation Facilities - Housing	75X0391	J5009IH	4182	1,043,000	1,043,000	0
Sanitation Facilities - Regular	75X0391	J5009IR	4182	0	1,896,900	1,896,900
Equipment	75X0391	J50Q020	4182	85,742	85,742	0
TOTAL, Facilities				1,912,576	3,809,476	1,896,900
GRAND TOTAL				18,757,518	20,654,418	1,896,900
Remarks: Obligate and pay funds under Amendment #02						
Area Fund Certification:			HQ Financial Certification:			
n/a			Suzabetha Trule			
Area Office			HQ Office of Finance and Accounting			
Date			Date			
Recommendation for Approval:			Approved:			
Office of Tribal Self-Governance			Director, Indian Health Service			
Date			Date			



AMENDMENT NUMBER 02
to the FY 2012 Funding Agreement
between the
Cherokee Nation
and the
United States of America
Department of Health and Human Services

The Funding Agreement, 60G930002, pursuant to Title V, Section 505 (e), Subsequent Funding Agreements, effective October 1, 2008, between the Cherokee Nation and the United States of America, Department of Health and Human Services, is hereby amended as follows:

SUB-SUB ACTIVITY	Previous FA Total	Increase (Decrease)	Amended FA Total
Sanitation Facilities - Regular	\$0	\$1,896,900	\$1,896,900
EFFECT ON FA AMOUNT/PAYMENTS			
Total, FA Amount	\$135,338,187	\$1,896,900	\$137,235,087
Total, FA Retained Services	\$0	\$0	\$0
Total, Amount to be Rec'd	\$135,338,187	\$1,896,900	\$137,235,087

Remarks: The Funding Agreement is amended to add non-recurring SFC Regular funds.

Effective Date: November 23, 2011

Cherokee Nation

By: Tribal signature not required for this action per FA Section 7.5.2
Principal Chief

Date

United States of America
Department of Health and Human Services

By: 
Director, Indian Health Service

11/23/11
Date



Global Plus Sum of Unapproved ATBs Report

26.M.3565500-01

Company : 1-Cherokee Nation
Accounting Unit : 3568800-ES Modernization
As of Period : Period 12-2012
Display Accounts : Posting Accounts Only
Global Plan : FY2012_MOD
Currency : USD-US Dollars
Scale : Single
Report Data updated as of: 12/27/2011 11:45:03 AM
Reporting Server Time zone is set to: (GMT -6:00) Central Standard Time

	Global	ATB	TOTAL
1 - Cherokee Nation	0	0	0
NAHASDA_08_STIM - NAHASDA 2008 IHP Econ Stim Pkg	0	0	0
NAHASDAES_08IHP - NAHASDA 08 IHP ES Pkg Sum	0	0	0
3568800 - ES Modernization	0	0	0
400000-0 - Grants / contracts revenue	0	-45,742	-45,742
650000-0 - Contract services >=\$5K	0	43,075	43,075
670000-0 - Client services	0	2,345	2,345
970000-0 - Indirect cost(IDC). allocation	0	322	322

COMPONENT NAME: ES NAHASDA REVENUE
 COMPONENT NUMBER: 3568899
 GRANT NUMBER: 08ST4005780
 GRANT PERIOD: 03/26/09 03/26/12
 GRANT AGENCY: HUD
 ACCOUNTANT: Chris Campbell
 PREPARED BY: Chris Campbell
 REVIEWED BY: Cliff Powell

GRANT HISTORY	
GRANT PERIOD	TOTAL
New Awards:	
FY 09	11,878,385.00
FY 10	0.00
TOTAL GRANT AMOUNT	11,878,385.00
AMOUNT RECEIVED	
FY 09	11,878,385.00
FY 10	0.00
FY 11	(248,263.02)
TOTAL RECIEPTS	11,630,121.98
Amount Remaining:	248,263.02
OTHER RECEIPTS	
FY 09	60,992.42
FY 10	87,370.62
FY 11	3,403.45
Less FB Rolled	(151,766.49)
TOTAL OTHER RECEIPTS	0.00
EXPENDITURES	
FY 09	379,843.73
FY 10	9,440,717.13
FY 11	1,948,847.65
TOTAL EXPENDITURES	11,769,408.51
Fund Balance	151,766.49
Unexpended Balance	108,976.49
UNEXPENDED BALANCE	260,742.98
Fund Balance	151,766.49
GRANT REC / (PAY)	(12,479.96)

260,742.98 Unexpended bal
~~(215,000.00)~~ Earmarked for Keetoowah
 45,742.98 Net unexpended bal. -
 for 3568800

V

12-M 3753207-01

Global Plus Sum of Unapproved ATBs Report

Company : 1-Cherokee Nation
Accounting Unit : 3753207-2011 CDFI Grant
As of Period : Period 12-2012
Display Accounts : Posting Accounts Only

Global Plan : FY2012_MOD
Currency : USD-US Dollars
Scale : Single
Report Data updated as of: 11/29/2011 05:09:26 PM
Reporting Server Time zone is set to: (GMT -6:00) Central Standard Time

	Global	ATB	TOTAL
1 - Cherokee Nation	0	0	0
2011_CDFI_GRANT - 2011 CDFI Grant	0	0	0
2011_CDFI_SUM - 2011 CDFI Grant Sum	0	0	0
3753207 - 2011 CDFI Grant	0	0	0
400000-0 - Grants / contracts revenue	0	-725,000	-725,000
900021-0 - Cash out. grant required	0	725,000	725,000

**COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND
ASSISTANCE AGREEMENT**

Awardee: Cherokee Nation Economic Development Trust Authority, Inc.	CDFI Fund Award Number: 111NA010404 DUNS Number: 077345494 Employer Identification Number: 730757033
Address: Post Office Box 3075, Tuba City, AZ, 86045-0000	
Applicable Program: FA Component, NACA Program Date of Applicable NOFA: 11/04/10	Date of Notice of Award (Performance Period Start Date): August 24, 2011
By signing this Assistance Agreement and in consideration of the mutual covenants, conditions and agreements hereinafter set forth, the parties hereto, by their respective Authorized Representatives, agree to the following: (i) the award of Assistance hereunder shall be administered pursuant to the General Award Terms and Conditions, attached hereto as Schedule 1 and made a material part hereof; and (ii) the award of Assistance shall be further subject to the provisions, terms, conditions, requirements, certifications and representations set forth in all such additional schedules as are indicated by the Checklist of Schedules, below, which are attached hereto and constitute a material part hereof.	
In witness whereof, the parties hereto do hereby execute and enter into this Assistance Agreement.	
Community Development Financial Institutions Fund By: Authorized Representative: Ruth Jaure Title: Program Manager	Cherokee Nation Economic Development Trust Authority, Inc. By: Authorized Representative: Shay Smith Title:

Effective Date:		
Performance Period End Date: [1] 9/30/2014		
Awardee Information		
Entity Type: Loan Fund		
CDFI Certification Status as of Effective Date: Certified		
Assistance		
Total Assistance: \$ 725,000		
Total Financial Assistance: \$725,000		
Grant: \$ 725,000	Loan: \$ 0	Equity Investment: \$ 0
Secondary Capital: \$ 0	Deposit: \$ 0	Amount of Initial Financial Assistance Disbursement: \$ 725,000
Total Technical Assistance: \$0		Amount of Initial Technical Assistance Disbursement: \$0

[1] If the conditions set forth in Section 7.11 have not been met on this date, the Assistance Agreement will continue to be effective until the Fund determines, in its sole discretion, that such conditions have been met, or such other time established by the Fund in writing.



COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS (CDFI) FUND
NOTICE OF AWARD

Awardee:	Cherokee Nation Economic Development Trust Authority, Inc.
Control Number:	111NA010404
Authority:	12 U.S.C. 4701 et seq.
Applicable Regulations:	12 C.F.R. Part 1805 and 12 C.F.R. Part 1815

GENERAL TERMS AND CONDITIONS

This Notice of Award signifies that the CDFI Fund has selected the award recipient (the "Awardee") for an award under the CDFI or NACA Program. The Awardee must comply, to the complete satisfaction of the CDFI Fund, with the General Terms and Conditions set forth below in order to receive a disbursement of funding from the CDFI Fund.

The CDFI Fund reserves the right to terminate this Notice of Award or take other appropriate action, in its sole discretion and without advance notice, if:

1. Information comes to the attention of the CDFI Fund that adversely affects the Awardee's eligibility for funding or the Awardee's certification as a CDFI;
2. Information comes to the attention of the CDFI Fund that adversely affects the CDFI Fund's evaluation of the Awardee's Application under the applicable NOFA and regulations;
3. Information comes to the attention of the CDFI Fund that indicates fraud or mismanagement on the part of the Awardee;
4. The Awardee is not in compliance with the terms of any prior Assistance Agreement or Award Agreement with the CDFI Fund, including failure to meet reporting requirements; and/or
5. The Awardee has a balance of undisbursed funds under a prior CDFI Fund award.

The CDFI Fund reserves the right, in its sole discretion, to rescind and terminate this Notice of Award if the Awardee fails to return the Assistance Agreement, signed by the authorized representative of the Awardee, along with other requested documentation, within the deadline set by the Fund.

If this Notice of Award is terminated, the CDFI Fund shall have no further obligation or responsibility hereunder, nor shall the CDFI Fund be obligated to enter into an Assistance Agreement or Award Agreement with, or disburse any award funds to, the Awardee.

These General Terms and Conditions are incorporated and made a part of the Assistance Agreement. By signing and returning the Assistance Agreement, the Awardee signifies its acceptance of all the General Terms and Conditions.

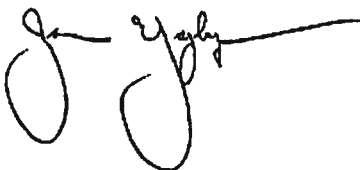

It is the sense of Congress that, to the greatest extent practicable, all equipment and products purchased with funds made available under this award should be American-made.

THE FOLLOWING ADDITIONAL TERMS AND CONDITIONS APPLY TO AWARDS ISSUED UNDER THE CDFI AND NACA PROGRAMS:

1. Assistance Agreement: Prior to the disbursement of any funds awarded, the Awardee must enter into an Assistance Agreement, which will set forth award terms and conditions, including performance goals and measures, approved uses of award funds, and reporting requirements. See applicable NOFA for details.

Failure to meet the terms and conditions of the Assistance Agreement can constitute an event of default and may cause the CDFI Fund to impose one or more sanctions, set forth in the Assistance Agreement, which may include, among other possible sanctions, requiring the Awardee to return award funds that have been disbursed.

2. **Legal Opinion or Good Standing Certificate:** Prior to signing the Assistance Agreement, an Awardee receiving an award that is an equity investment, credit union shares/deposits, or secondary capital, in any amount, must furnish the CDFI Fund with a legal opinion, the content of which is specified in the Assistance Agreement. For (i) Technical Assistance grants and (ii) Financial Assistance grants and loans, legal opinions are not required; instead, the Awardee must provide the CDFI Fund with a Good Standing Certificate from the jurisdiction in which it is incorporated, that is no more than 180 days old.
3. **Required Reports and Report Submission Dates:**
Each Awardee will be required to prepare and submit reports and surveys, as described, detailed and scheduled in the Assistance Agreement. Reporting requirements will include information on financial condition, performance achievement, use of funds and additional information as determined necessary by the CDFI Fund.

Issued for the CDFI Fund by:	
	
Associate Program Manager	Program Manager



Cherokee Nation Act/Resolution Proposal Form

Act Resolution

AN ACT AMENDING LEGISLATIVE ACT #20-11
AUTHORIZING THE COMPREHENSIVE BUDGET FOR
FISCAL YEAR 2012 OPERATING – Mod. 5
: AND DECLARING AN EMERGENCY

TITLE:

DEPARTMENT CONTACT: Tamsye Dreadfulwater

RESOLUTION PRESENTER: _____

SPONSOR: _____

NARRATIVE: (See Attached Outline for Information If Outline is Required)

<u>ADMINISTRATIVE CLEARANCE</u>	
Program/Project Manager:	
Signature/Initial	Date
Department Director:	
Signature/Initial	Date
Executive Director:	
Signature/Initial	Date
Controller: (if needed)	
<i>Suzanne Horn</i>	<i>1-6-12</i>
Signature/Initial	Date
Government Resources:	
<i>Vickie Harvey</i>	<i>1/9/12</i>
Signature/Initial	Date
Administration Approval:	
<i>gls</i>	<i>1/10/2012</i>
Signature/Initial	Date

<u>LEGISLATIVE CLEARANCE:</u>	
Legislative Aide:	
<i>[Signature]</i>	<i>1/10/12</i>
Signature/Initial	Date
Standing Committee & Date:	
<i>Executive + Finance</i>	
<i>1/26/12</i>	
Chairperson:	
<i>J.D. Baker</i>	
Signature/Initial	Date
Returned to Presenter: _____	
Date	

01-10-12.P03:56 RCVD

*1/6/12
gls 3 pm*