

An Act

LEGISLATIVE ACT 19-14

AN ACT AMENDING LEGISLATIVE ACT #21-13 AUTHORIZING THE COMPREHENSIVE OPERATING BUDGET FOR FISCAL YEAR 2014 – Mod. 10; AND DECLARING AN EMERGENCY

BE IT ENACTED BY THE CHEROKEE NATION:

SECTION 1. TITLE AND CODIFICATION

This legislative act shall be titled and codified as “An Act Amending Legislative Act #21-13 Authorizing the Comprehensive Operating Budget for FY 2014 – Mod. 10”.

SECTION 2. PURPOSE

The purpose of this amendment is to authorize and approve the use of funds, subject to the availability of such funds, in accordance with Section 4, changing the amounts of certain cost centers approved in the “Comprehensive Budget Act for Fiscal Year 2014” or subsequent amendment. The cumulative total of the budget is increased by \$ 3,770,046 for a total budget authority of \$ 613,731,556. The following items are identified as components of such change:

Grants Received & Authorized per LA-21-13 (detail attached)	\$ 72,466
Modification Request (see Section 4 below)	<u>3,697,580</u>
Cumulative change in budget authority	<u>\$ 3,770,046</u>

SECTION 3. LEGISLATIVE HISTORY

The provisions of compliance, policy of accountability, authorities and severability provided in Legislative Act #21-13 are applicable to this amendment.

SECTION 4. FUNDING AUTHORIZATIONS

The changes reflect increases to cost centers set forth in the program budget justifications incorporated herein. This modification request changes the total amount of the comprehensive budget authorization by an increase of \$ 3,697,580 to wit:

- A. An increase in the **Enterprise** budget authority of \$ 1,404,435.
- B. An increase in the **DOI Self Governance** budget authority of \$ 53,678.
- C. An increase in the **USDA** budget authority of \$ 752,598.
- D. An increase in the **IHS-Self Governance Health** budget authority of \$ 736,869.

E. An increase in the **Federal Other** budget authority of **\$ 750,000.**

SECTION 5. PROVISIONS AS CUMULATIVE

The provisions of this Act shall be cumulative to existing law.

SECTION 6. SEVERABILITY

The provisions of this Act are severable and if any part or provision hereof shall be held void the decision of the court so holding shall not affect or impair any of the remaining parts or provisions of this Act.

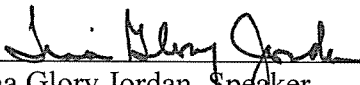
SECTION 7. EFFECTIVE DATE: EMERGENCY DECLARED

It being immediately necessary for the welfare of the Cherokee Nation, the Council hereby declares that an emergency exists, by reason whereof this Act shall take effect immediately upon its approval and signatures.

SECTION 8. SELF-HELP CONTRIBUTIONS

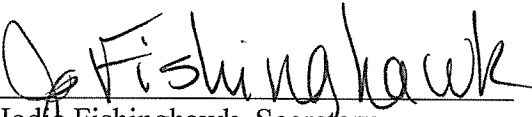
To the extent that this Act involves programs or services to citizens of the Nation or others, self-help contributions shall be required, unless specifically prohibited by the funding agency, or a waiver is granted due to physical or mental incapacity of the participant to contribute.

Enacted by the Council of the Cherokee Nation on the 14th day of July, 2014




Tina Glory Jordan, Speaker
Council of the Cherokee Nation

ATTEST:




Jodie FISHINGHAWK, Secretary
Council of the Cherokee Nation

Approved and signed by the Principal Chief this 18th day of July, 2014



Bill John Baker, Principal Chief
Cherokee Nation

ATTEST:



For Chuck Hoskin, Jr., Secretary of State
Cherokee Nation

YEAS AND NAYS AS RECORDED:

Tina Glory-Jordan	<u>Yea</u>	Harley Buzzard	<u>Yea</u>
Joe Byrd	<u>Yea</u>	Victoria Vazquez	<u>Yea</u>
David Walkingstick	<u>Yea</u>	Dick Lay	<u>Yea</u>
Don Garvin	<u>Yea</u>	Cara Cowan Watts	<u>Yea</u>
David W. Thornton, Sr.	<u>Yea</u>	Lee Keener	<u>Yea</u>
Janelle Fullbright	<u>Yea</u>	Janees Taylor	<u>Yea</u>
Frankie Hargis	<u>Yea</u>	Jack Baker	<u>Yea</u>
Jodie Fishinghawk	<u>Yea</u>	Julia Coates	<u>Yea</u>
Curtis Snell	<u>Yea</u>		

CHEROKEE NATION
 PROPOSED FY 2014 AMENDMENT
 Sorted by Funding Source

				Data		
Funding Source	Ref # by FS	Program/Purpose	FY 2014 Prior LA	Amend. Change to Sources	Amend. Expenses Total Change	Net Change
01-Cherokee Nation	1	1010315 Cash Match for Grants	May Grants	-	-	-
01-Cherokee Nation Total				\$ -	\$ -	\$ -
40-DHHS-General	2	3408900 UCD Trauma Screening Project	New	72,466	72,466	-
40-DHHS-General Total				\$ 72,466	\$ 72,466	\$ -
Grand Total				\$ 72,466	\$ 72,466	\$ -

Operating Grants Reporting Only

CHEROKEE NATION
 PROPOSED FY 2014 AMENDMENT
 Sorted by Funding Source

				Data		
Funding Source	Ref # by FS	Program/Purpose	FY 2014 Prior LA	Amend. Change to Sources	Amend. Expenses Total Change	Net Change
10-Enterprise	1	4109030 Commercial Loan Fund	LA 21-13	1,404,435	1,404,435	\$ -
10-Enterprise Total				\$ 1,404,435	\$ 1,404,435	\$ -
22-DOI - Self Governance	2	3221190 DOI Climate Change	New	32,500	32,500	\$ -
	3	3223070 CN Water Plan	LA 09-14	21,178	21,178	\$ -
22-DOI - Self Governance Total				\$ 53,678	\$ 53,678	\$ -
32-IHS - Self Governance Health	4	3329030 Health Equipment Replacement	Mod 8b	736,869	736,869	\$ -
32-IHS - Self Governance Health Total				\$ 736,869	\$ 736,869	\$ -
45-USDA	5	3454500 RBEG Micro Lending	New	752,598	752,598	\$ -
45-USDA Total				\$ 752,598	\$ 752,598	\$ -
75-Federal Other	6	3753207 CDFI Loan Fund	New	750,000	750,000	\$ -
75-Federal Other Total				\$ 750,000	\$ 750,000	\$ -
Grand Total				\$ 3,697,580	\$ 3,697,580	\$ -

Operating Mod# 10 Request

COMPREHENSIVE BUDGET FOR FISCAL YEAR 2014

Funding Source	Revenues	Transfers In	Total	Direct Exp.	Indir. Exp.	Transfers Out	Total	NET
Tribally Funded Funding Source	91,415,800	1,790,822	93,206,622	81,070,762	4,593,221	7,542,639	93,206,622	0
Motor Fuels Tax Funding Srcr	10,822,938	15,196,108	26,019,046	15,901,006	171,764	9,946,276	26,019,046	0
Motor Vehicle Tax Funding Srcr	20,457,224	450,000	20,907,224	19,168,539	460,260	1,278,425	20,907,224	0
Permanent Fund Funding Source	10,530	0	10,530	10,530	0	0	10,530	0
DOI General Funding Source	12,736,725	0	12,736,725	11,941,649	795,076	0	12,736,725	0
DOI Self Gov Funding Source	14,527,484	79,600	14,607,084	13,335,746	1,260,538	10,800	14,607,084	0
DOI Self Gov Roads Funding Srcr	23,844,432	0	23,844,432	23,616,471	141,088	86,873	23,844,432	0
Dept of Transportation Fnd Src	47,825,387	0	47,825,387	47,566,718	158,826	99,843	47,825,387	0
DOI PL102-477 Funding Source	16,989,155	521,902	17,511,057	16,335,214	1,175,843	0	17,511,057	0
IHS Self Gov Health Funding Sr	245,019,997	28,140	245,048,137	225,054,610	17,343,527	2,650,000	245,048,137	0
IHS Self Gov TEH Funding Srcr	8,342,737	0	8,342,737	7,966,189	376,548	0	8,342,737	0
IHS Self Gov Offic Funding Srcr	322,788	0	322,788	284,719	38,069	0	322,788	0
IHS Discretionary Funding Srcr	68,400	0	68,400	30,000	0	38,400	68,400	0
DHHS General Funding Source	35,602,310	516,894	36,119,204	32,494,105	3,025,099	600,000	36,119,204	0
USDA Funding Source	17,466,304	743,192	18,209,496	17,461,062	748,434	0	18,209,496	0
Dept of Education Funding Srcr	1,258,838	80,307	1,339,145	1,214,662	124,483	0	1,339,145	0
HUD Funding Source	47,830,510	247,211	48,077,721	45,745,805	1,881,916	450,000	48,077,721	0
Housing Proceeds Funding Srcr	0	0	0	0	0	0	0	0
EPA Funding Source	1,900,581	0	1,900,581	1,697,756	202,825	0	1,900,581	0
Dept of Labor Funding Source	6,505,128	0	6,505,128	5,869,884	635,244	0	6,505,128	0
Federal Other Funding Source	2,775,748	30,500	2,806,248	2,676,520	129,728	0	2,806,248	0
State of Oklahoma Funding Srcr	1,140,306	0	1,140,306	1,032,315	107,991	0	1,140,306	0
Private Funding Source	441,113	183,222	624,335	563,142	61,193	0	624,335	0
Indirect Cost Pool Funding Srcr	40,912,737	68,400	40,981,137	40,712,130	0	0	40,712,130	269,007
Fringe Pool Funding Source	0	0	0	0	0	0	0	0
Internal Lease Pool Funding Sr	4,202,608	0	4,202,608	4,202,608	0	0	4,202,608	0
Enterprise Funding Source	1,247,473	450,000	1,697,473	1,237,276	0	0	1,237,276	460,197
Other Funding Source	199,471	17,000	216,471	204,836	11,635	0	216,471	0
Debt Service Funding Source	0	2,650,000	2,650,000	2,650,000	0	0	2,650,000	0
Capital Projects Funding Sourc	20,102,311	600,000	20,702,311	19,752,269	0	950,042	20,702,311	0
Total	\$ 673,969,035	\$ 23,653,298	\$ 697,622,333	\$ 639,796,523	\$ 33,443,308	\$ 23,653,298	\$ 696,893,129	\$ 729,204

CAPITAL RECONCILIATION	
LA-22-13 CAP	\$ 92,360,612
Mod-1 Capital	5,000,000
Mod-2 Capital	1,554,468
Mod-3 Capital	521,902
Mod-4b Capital	150,000
Mod-5a Capital	3,300,000
Mod-6 Capital	167,130
Mod-7 Capital	382,739
Total Capital	\$ 103,436,851

Non Grant Requests	
Mod-8b Oper Req	10,207,684
Mod-5a Cap Req	3,300,000
Mod-9 Oper Req	2,853,238
Mod-6 Cap Req	167,130
Mod-10 Oper Req	3,697,580
Mod-7 Cap Req	49,646
Total after pending Mod's	\$ 717,168,407

Operating (LA21-13)	\$ 613,731,556
Capital (LA-22-13)	103,436,851
Grand Total	\$ 717,168,407



CHEROKEE NATION TRIBAL COUNCIL

Jody S. Reece, CPA, CIA, CMA

Executive Director of Financial Oversight

M e m o

To: Jodie Fishinghawk, Chair, Executive & Finance Committee &
Janees Taylor, Co-Chair, Executive & Finance Committee

From: Jody S. Reece

CC: Executive & Finance Committee

Date: 06/05/2014

Re: Review of Operating Budget Modification #10 – **Total \$ 3,770,046**

Per your request, I have reviewed the administration's budget modification request for this month's Executive & Finance Committee Meeting. Below is a summary of my review:

A. Grant Reporting:

<u>Funding Source</u>	<u>Reason</u>	<u>Amount</u>
DHHS-General	1 – new award	\$ 72,466
TOTAL GRANTS		\$ 72,466

General Fund Cash Match for Grants (1010315) – In the original budget of \$2,300,072, there was \$1,550,072 in Cash Out: Grant Required and \$750,000 in Appropriated for Cash Match for future grants.

Original Appropriated for Cash Match	\$ 750,000	
Used: ICDBG Retail Incubator (3552600)	(208,101)	October reporting
Vocational Rehab Service (3507000)	(13,153)	May Reporting
Federal Transit Program (3753300)	(30,000)	June Reporting
Youth Shelter (pending application)	(8,333)	May application
Balance Available	\$ 490,413	

B. MOD #10 Request - (6 budgets) Increase in budget authority - \$ 3,697,580

- DOI Climate Change – 3221190 – DOI Self Governance: New budget requesting expenditure authorization of \$32,500 from grant funds received for training funds for a core group of CN officials to obtain training/reference material in order to draft a CN Climate Change Management Plan.
- CN Water Plan – 3223070 – DOI Self Governance: Modification requesting an increase in expenditure authorization of \$21,178 from additional grant funds. The total of this budget is now \$331,178.
- Health Equipment Replacement – 3329030 – IHS Self Governance Health: Modification requesting an increase in expenditure authorization of \$736,869 from a transfer in from AU 7968200 - WWH Physical

Therapy Building Expansion (see capital mod). The funds will be used to purchase equipment and furniture for the newly expanded health facilities with a total in this budget now of \$5,688,153.

4. RBEF Micro Lending – 3454500 – USDA: New budget requesting expenditure authorization of \$752,598. \$500,000 is from grant funds that will be transferred to AU 4109030 - Commercial Loan Fund and \$252,598 is a required in-kind match.
5. CDFI Loan Fund – 3753207 – Federal Other: New budget requesting expenditure authorization of \$750,000 for a grant from Community Development Financial Institutions (CDFI). The funds will be transferred to AU 4109030 – Commercial Loan Fund.
6. Commercial Loan Fund – 4109030 – Enterprise Fund: Modification requesting an increase in expenditure authorization of \$1,404,435. Funds are provided by an increase in interest income of \$150,935, an increase of \$3,500 from late fee income, and transfers in from items 4. and 5. above.

Summary:

After reviewing the submission of Mod #10 by administration, I find no technical issues surrounding these requests, nor do I have any concerns related to their respective carryover estimates. If I can provide any further information, please do not hesitate to contact me.

Respectfully,



Attachments

CHEROKEE NATION - FY2014 BUDGET REQUEST FORM

PART-1

Budget Period:	10/01/2013 - 09/30/2014	Budget Preparer	Phone: 5670
Contract Period:		Name:	Mary Hicks
Contract Number:		Accounting Unit Director/Manager	Phone: 5704
Accounting Fund:	3-Special Revenue	Name:	Pat Gwin
Funding Source:	22-DOI-Self Governance	Executive Director	Phone: x5101
AU Description:	DOI Climate Change	Name:	Chuck Hoskin Jr.
Accounting Unit:	3221190	1st Person Responsible	
Place IDC Rate in Part 4 Below		Employee #	103722
Date/Time Printed:	13-May-14 03:58 PM		
Notes: New Request			

PART-2

Staffing Summary:	FY 2014 ORIG REQUEST	FY 2013 BUDGET	Incr \ (Decr)
# of Regular Full-Time Employee Equivalents:			-
# of Regular Part-Time Employee Equivalents:			-
# of Temp. Full-Time Employee Equivalents:			-
# of Temp. Part-Time Employee Equivalents:			-
# of Other Employee Equivalents:			-
TOTAL NUMBER OF EMPLOYEE-EQUIVALENTS			-

PART-3

Revenues: (Show as positive #)	Account #		Incr \ (Decr)
Grants / contracts revenue	400000	\$32,500	\$ 32,500
Please enter a valid account number - >>>			\$ -
Please enter a valid account number - >>>			\$ -
Total Revenues		\$ 32,500	\$ 32,500

PART-4

Expenditures:	Account #	Subject to IDC ?		Subject to IDC ?		Incr \ (Decr)
		YES	NO	YES	NO	
DO NOT COPY TO, COPY ABOVE, OR REMOVE THIS LINE!						
Salaries & wages	600000	\$0				\$ -
Fringe benefits	610000	\$0				\$ -
Staff development & training	620000	\$23,951				\$ 23,951
Supplies	680000	\$4,500				\$ 4,500
Please enter a valid account number - >>>						\$ -
Please enter a valid account number - >>>						\$ -
Please enter a valid account number - >>>						\$ -
DO NOT COPY TO, COPY BELOW, OR REMOVE THIS LINE!						\$ -
Expenditures NOT Subject to IDC		\$ -		\$ -		\$ -
Expenditures SUBJECT to IDC		\$ 28,451		\$ -		\$ 28,451
Indirect Cost Rate (If blank or zero, must explain in Notes above)		14.23%		14.23%		
Indirect Cost Allocation	970000	\$ 4,049		\$ -		\$ 4,049
Total Expenditures		\$ 32,500		\$ -		\$ 32,500

Revenues OVER \ (UNDER) Expenditures	\$ -	\$ -
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Transfers In\Out - (Show ALL as Positive Numbers)

Operating Transfers IN						
Other financing sources	900000					\$ -
Cash in: tribally required	900010					\$ -
Cash in: grant required	900020					\$ -
Cash in: motor fuel tax	900040					\$ -
Cash in: vehicle tax	900050					\$ -
Cash in: interprogram contract	900060					\$ -
Cash in: debt service	900070					\$ -

Operating Transfers OUT						
Other financing uses	900001					\$ -
Cash out: tribally required	900011					\$ -
Cash out: grant required	900021					\$ -
Cash out: motor fuel tax	900041					\$ -
Cash out: vehicle tax	900051					\$ -
Cash out: interprogram contract	900061					\$ -
Cash out: debt service	900071					\$ -

Transfers In\Out - Net	\$ -	\$ -
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Take to Narrative ==>	\$ 32,500	\$ -
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Excess\Deficit of Revenues, Expenditures and Net Transfers	\$ -	\$ -
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Cherokee Nation FY 2014 Comprehensive Budget Narrative

Department		Executive Director		Phone	
Principal Chief		Chuck Hoskin Jr.		918/453-5670	
Accounting Unit		Accounting Unit Description			
3221190		DOI Climate Change			
Program Manager		Phone		Period Budget Covers	
Pat Gwin		918/453-5704		10/01/13-09/30/14	
FY 2013 Approved Budget	FY 2014 Budget Request	\$ Increase/(Decrease) Requested – Approved		% Increase/(Decrease) (Requested – Approved)/Approved	
\$0	\$32,500	\$32,500		100%	
Staffing Plan (FTEs)	FY 2014	FY 2013		Net Change in Staffing	
Regular Full-Time	0.0	0.0		0	
Regular Part-Time	-	-		-	
Temporary Full-Time	-	-		-	
Temporary Part-Time	-	-		-	
IPA/MOA	-	-		-	
Total	0.	0.		0	

PROGRAM NARRATIVE

- **Description:** This budget was created in FY14 as a result of receipt of a DOI/BIA grant award. These grant funds were received as training funds for a core group of CN officials to obtain training/reference material in order to draft a CN Climate Change Mgmt. Plan.
- **Intended Outcome(s):** These grant funds were received as training funds for a core group of CN officials to obtain training/reference material in order to draft a CN Climate Change Mgmt. Plan.
- **Evaluation metrics:** Successful completion of the grant workplan.
- **Success rates:** NA, grant activities not yet completed.
- **Number of participants/Cherokees/non-Cherokees served:** This budget is earmarked by the DOI for CN staff training; it is not connected to CN Citizen service delivery.
- **Cost Saving Measures:** NA, the budget remains unchanged from the previous fiscal year.
- **Staffing change rationale:** NA, no staffing within this budget.
- **Collaboration:** This budget partners with numerous CN Governmental entities/departments/subsidiaries, other federally recognized Tribes, educational institutions, and scientific research facilities.
- **External funding:** This budget is external funding. Additional funding request has been submitted.

SIGNIFICANT CHANGES

New budget for FY14 (grant funding received)

REQUEST NO.: OSG1201

**DEPARTMENT OF THE INTERIOR
SELF-GOVERNANCE COMPACT/FUNDING AGREEMENT AND AMENDMENTS
AUTHORITY TO OBLIGATE**

COMPACT NO.: GT-OSGT905-13

DOC REQUEST NO.: 20

COMPACT TRIBE/TRIBAL CONSORTIUM: CHEROKEE NATION

COMPACT PERIOD: Fiscal Year 2013

Award NO: A13AV00002

DATE: Friday, April 11, 2014

DUNS NO.: 077345494

ACCT Line	BFY	Program	Description	Current Authority	Increase Decrease	Total Authority
1	13-14	T9240	S/G OIP (2 Year)	\$11,351,104	\$32,500	\$11,383,604
2	13-14	T9A40	S/G OIP - UTB (2 Year)	\$80,285	\$0	\$80,285
6	2013	95400	S/G HHS-CHILDCARE DEVELOP	\$6,410,924	\$0	\$6,410,924
8	13-14	95700	S/G LABOR-JTPA IV-A, II-B	\$1,650,356	\$0	\$1,650,356
9	2013	95800	S/G HHS-CHILDCARE BLOCK	\$3,766,987	\$0	\$3,766,987
10	2013	92900	S/G BLM-FIRE MANAGEMENT	\$66,808	\$0	\$66,808
13	2013	90710	S/G OST-TRUST IMPROVEMENT - APPRAISALS	\$3,076	\$0	\$3,076
14	2013	94120	S/G DAMAGE ASSESSMENT	\$47,996	\$0	\$47,996
Total:				\$23,377,538	\$32,500	\$23,410,038

Authority to Obligate: All conditions and restrictions contained in 42 BIAM Supplement 4 apply to this agreement. You may not exceed this limitation without additional authority signed by the allottee of these funds.

Chau M. Okuma
 Signature of Authorizing Official
 Director, Office of Self-Governance

APR 11 2014

 Date

This Funding Agreement/Amendment is offered under authority of Title IV, P.L. 100-472, P.L. 102-184, and P.L. 93-638 as amended, the Indian Self-Determination and Education Assistance Act.

Listing of Increases/Decreases

Cost Code	Description	Amount
N3300 NON TPA	Cooperative Landscape Conservation FY 2013 distribution of funds to support Tribal travel for climate adaptation related activities. This is a one-time distribution of funds. 13OIP122.	\$32,500
	ROLLUP T9240 Total:	\$32,500
	COMPACT TOTAL:	\$32,500

CHEROKEE NATION - FY2014 BUDGET REQUEST FORM

PART-1

Budget Period:	10/01/2013 - 09/30/2014	Budget Preparer	Phone: 5686
Contract Period:		Name:	Ernestine Pumpkin
Contract Number:		Accounting Unit Director/Manager	Phone:
Accounting Fund:	3-Special Revenue	Name:	
Funding Source:	22-DOI-Self Governance	Executive Director	Phone: 5652
AU Description:	CN Water Plan	Name:	M. Todd Hembree
Accounting Unit:	3223070	1st Person Responsible	
Place IDC Rate in Part 4 Below		Employee #	102423
Date/Time Printed:	19-May-14 11:51 AM		

Notes: Increase per Authority to Obligate, for Water Management Plan.

PART-2

Staffing Summary:

	FY 2014 REVISION 1	FY 2014 ORIG REQUEST	Incr \ (Decr)
# of Regular Full-Time Employee Equivalents:			-
# of Regular Part-Time Employee Equivalents:			-
# of Temp. Full-Time Employee Equivalents:			-
# of Temp. Part-Time Employee Equivalents:			-
# of Other Employee Equivalents:			-
TOTAL NUMBER OF EMPLOYEE-EQUIVALENTS			-

PART-3

Revenues: (Show as positive #)	Account #			Incr \ (Decr)
Grants / contracts revenue	400000	\$331,178	\$310,000	\$ 21,178
Please enter a valid account number - >>>			\$	-
Please enter a valid account number - >>>			\$	-
Total Revenues		\$ 331,178	\$ 310,000	\$ 21,178

PART-4

Expenditures:	Account #	Subject to IDC ?		Subject to IDC ?		Incr \ (Decr)
		YES	NO	YES	NO	
DO NOT COPY TO, COPY ABOVE, OR REMOVE THIS LINE!						
Salaries & wages	600000	\$0				\$ -
Fringe benefits	610000	\$0				\$ -
Contract services >=\$5K	650000		\$331,178		\$310,000	\$ 21,178
Please enter a valid account number - >>>						\$ -
Please enter a valid account number - >>>						\$ -
Please enter a valid account number - >>>						\$ -
DO NOT COPY TO, COPY BELOW, OR REMOVE THIS LINE!						
Expenditures NOT Subject to IDC			\$ 331,178		\$ 310,000	\$ 21,178
Expenditures SUBJECT to IDC		\$ -		\$ -		\$ -
Indirect Cost Rate (If blank or zero, must explain in Notes above)		14.23%		14.23%		\$ -
Indirect Cost Allocation	970000	\$ -		\$ -		\$ -
Total Expenditures			\$ 331,178		\$ 310,000	\$ 21,178

Revenues OVER \ (UNDER) Expenditures		\$ -	\$ -	\$ -
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Transfers In/Out - (Show ALL as Positive Numbers)

Operating Transfers IN					
Other financing sources	900000				\$ -
Cash in: tribally required	900010				\$ -
Cash in: grant required	900020				\$ -
Cash in: motor fuel tax	900040				\$ -
Cash in: vehicle tax	900050				\$ -
Cash in: interprogram contract	900060				\$ -
Cash in: debt service	900070				\$ -

Operating Transfers OUT					
Other financing uses	900001				\$ -
Cash out: tribally required	900011				\$ -
Cash out: grant required	900021				\$ -
Cash out: motor fuel tax	900041				\$ -
Cash out: vehicle tax	900051				\$ -
Cash out: interprogram contract	900061				\$ -
Cash out: debt service	900071				\$ -

Transfers In/Out - Net		\$ -	\$ -	\$ -
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Take to Narrative ==>		\$ 331,178	\$ 310,000	
Excess\ (Deficit) of Revenues, Expenditures and Net Transfers		\$ -	\$ -	\$ -

REQUEST NO.: OSG1197

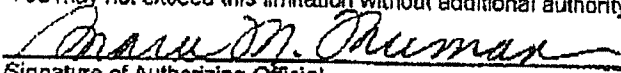
DEPARTMENT OF THE INTERIOR
 SELF-GOVERNANCE COMPACT/FUNDING AGREEMENT AND AMENDMENTS
 AUTHORITY TO OBLIGATE

COMPACT NO.: GT-OSGT906-13
 COMPACT TRIBE/TRIBAL CONSORTIUM: CHEROKEE NATION
 COMPACT PERIOD: Fiscal Year 2013
 DATE: Friday, March 28, 2014

DOC REQUEST NO.: 19
 Award NO: A13AV00002
 DUNS NO.: 077345494

ACCT Line	BFY	Program	Description	Current Authority	Increase Decrease	Total Authority
1	13-14	T9240	S/G OIP (2 Year)	\$11,329,928	\$21,178	\$11,351,104
2	13-14	T9A40	S/G OIP - UTB (2 Year)	\$80,285	\$0	\$80,285
6	2013	95400	S/G HHS-CHILDCARE DEVELOP	\$6,410,924	\$0	\$6,410,924
8	13-14	95700	S/G LABOR-JTPA IV-A, II-B	\$1,650,356	\$0	\$1,650,356
9	2013	95800	S/G HHS-CHILDCARE BLOCK	\$3,766,987	\$0	\$3,766,987
10	2013	92900	S/G BLM-FIRE MANAGEMENT	\$66,808	\$0	\$66,808
13	2013	90710	S/G OST-TRUST IMPROVEMENT - APPRAISALS	\$3,076	\$0	\$3,076
14	2013	94120	S/G DAMAGE ASSESSMENT	\$47,996	\$0	\$47,996
Total:				\$23,356,358	\$21,178	\$23,377,536

Authority to Obligate: All conditions and restrictions contained in 42 BIA/M Supplement 4 apply to this agreement. You may not exceed this limitation without additional authority signed by the allottee of these funds.


 Signature of Authorizing Official
 Director, Office of Self-Governance

MAR 28 2014
 Date

This Funding Agreement/Amendment is offered under authority of Title IV, P.L. 100-472, P.L. 102-184, and P.L. 93-638 as amended, the Indian Self-Determination and Education Assistance Act.

Listing of Increases/Decreases

Cost Code	Description	Amount
N3420 NON TPA	Water Mngmt, Plan&Pre-Dvlpmnt FY 2013 distribution for the Water Management Program Plan. This is a one-time distribution of funds. 13OIP120. [\$21,178.30].	\$21,178
	ROLLUP T9240 Total:	\$21,178
	COMPACT TOTAL:	\$21,178

CHEROKEE NATION - FY2014 BUDGET REQUEST FORM

PART-1

Budget Period:	10/01/13 to 09/30/14	Budget Preparer	Phone: 5636
Contract Period:		Name:	Ami Sams
Contract Number:		Accounting Unit Director/Manager	Phone: 458-7662
Accounting Fund:	3-Special Revenue	Name:	George Long
Funding Source:	32-IHS-Self Governance-Health	Executive Director	Phone: 5557
AU Description:	Health Equipment Replacement	Name:	Connie Davis
Accounting Unit:	3329030	1st Person Responsible	
Place IDC Rate in Part 4 Below		Employee #	100007
Date/Time Printed:	19-May-14 04:38 PM		

Notes: Transfer in from AU 7968200. These funds will be used to purchase equipment/furniture for the newly expanded health facilities.

PART-2

Staffing Summary:

	FY 2014 REVISION 2	FY 2014 REVISION 1	Incr \ (Decr)
# of Regular Full-Time Employee Equivalents:			-
# of Regular Part-Time Employee Equivalents:			-
# of Temp. Full-Time Employee Equivalents:			-
# of Temp. Part-Time Employee Equivalents:			-
# of Other Employee Equivalents:			-
TOTAL NUMBER OF EMPLOYEE-EQUIVALENTS	-	-	-

PART-3

Revenues:

(Show as positive #)

Account #	Incr \ (Decr)
Carryover: "appropriated" PY	
490000	\$ 4,951,284
Please enter a valid account number - >>>	\$ -
Please enter a valid account number - >>>	\$ -
DO NOT COPY TO, COPY BELOW, OR REMOVE THIS LINE!	\$ -
Total Revenues	\$ 4,951,284

PART-4

Expenditures:

Account #	Subject to IDC ?		Subject to IDC ?		Incr \ (Decr)
	YES	NO	YES	NO	
DO NOT COPY TO, COPY ABOVE, OR REMOVE THIS LINE!					
Salaries & wages	\$0		\$0		\$ -
Fringe benefits	\$0		\$0		\$ -
Contract services >=\$5K		\$500,000		\$500,000	\$ -
Equipment < \$5K	\$1,000,000		\$1,000,000		\$ -
Capital acquisitions >= \$5K		\$4,045,853		\$3,308,984	\$ 736,869
Please enter a valid account number - >>>					\$ -
Please enter a valid account number - >>>					\$ -
Please enter a valid account number - >>>					\$ -
DO NOT COPY TO, COPY BELOW, OR REMOVE THIS LINE!					\$ -
Expenditures NOT Subject to IDC		\$ 4,545,853		\$ 3,808,984	\$ 736,869
Expenditures SUBJECT to IDC	\$ 1,000,000		\$ 1,000,000		\$ -
Indirect Cost Rate (If blank or zero, must explain in Notes above)	14.23%		14.23%		\$ -
Indirect Cost Allocation	970000	\$ 142,300	\$ 142,300		\$ -
Total Expenditures		\$ 5,688,153		\$ 4,951,284	\$ 736,869
Revenues OVER \ (UNDER) Expenditures		\$ (736,869)		\$ -	\$ (736,869)

Transfers In\Out - (Show ALL as Positive Numbers)

Operating Transfers IN					
Other financing sources					
Cash in: tribally required	900000		\$736,869		\$ -
Cash in: grant required	900010				\$ 736,869
Cash in: motor fuel tax	900020				\$ -
Cash in: vehicle tax	900040				\$ -
Cash in: vehicle tax	900050				\$ -
Cash in: interprogram contract	900060				\$ -
Cash in: debt service	900070				\$ -
Operating Transfers OUT					
Other financing uses					
Cash out: tribally required	900001				\$ -
Cash out: tribally required	900011				\$ -
Cash out: grant required	900021				\$ -
Cash out: motor fuel tax	900041				\$ -
Cash out: vehicle tax	900051				\$ -
Cash out: interprogram contract	900061				\$ -
Cash out: debt service	900071				\$ -
Transfers In\Out - Net		\$ 736,869		\$ -	\$ 736,869
Take to Narrative ==>		\$ 5,688,153		\$ 4,951,284	
Excess\ (Deficit) of Revenues, Expenditures and Net Transfers		\$ -		\$ -	\$ -

CHEROKEE NATION - FY2014 BUDGET REQUEST FORM

PART-1

Budget Period:	10/01/13 - 09/30/14	Budget Preparer	Phone: 5285
Contract Period:	10/01/13 - 09/30/14	Name:	Mary Campbell
Contract Number:	42-011-730757033	Accounting Unit Director/Manager	Phone: 5534
Accounting Fund:	3-Special Revenue	Name:	Shay Smith
Funding Source:	45-USDA	Executive Director	Phone: 5532
AU Description:	RBEG Micro Lending	Name:	Anna Knight
Accounting Unit:	3454500	1st Person Responsible	
Place IDC Rate in Part 4 Below		Employee #	10-1074
Date/Time Printed:	13-May-14 05:11 PM		

Notes: 2014 award. Cash out in the amount of \$500,000 to AU 4109030 - Commercial Loan Fund.

PART-2

Staffing Summary:

	FY 2014 ORIG REQUEST	FY 2013 BUDGET	Incr \ (Decr)
# of Regular Full-Time Employee Equivalents:			-
# of Regular Part-Time Employee Equivalents:			-
# of Temp. Full-Time Employee Equivalents:			-
# of Temp. Part-Time Employee Equivalents:			-
# of Other Employee Equivalents:			-
TOTAL NUMBER OF EMPLOYEE-EQUIVALENTS			-

PART-3

Revenues: (Show as positive #)	Account #		Incr \ (Decr)
Grants / contracts revenue	400000	\$500,000	\$ 500,000
Contributions: in-kind revenue	480030	\$252,598	\$ 252,598
Please enter a valid account number - >>>			\$ -
DO NOT COPY TO, COPY BELOW, OR REMOVE THIS LINE!			\$ -
Total Revenues		\$ 752,598	\$ 752,598

PART-4

Expenditures:	Account #	Subject to IDC ?		Subject to IDC ?		Incr \ (Decr)
		YES	NO	YES	NO	
DO NOT COPY TO, COPY ABOVE, OR REMOVE THIS LINE!						
Salaries & wages	600000	\$0				\$ -
Fringe benefits	610000	\$0				\$ -
Contributions: in-kind	750020		\$252,598			\$ 252,598
Please enter a valid account number - >>>						\$ -
Please enter a valid account number - >>>						\$ -
DO NOT COPY TO, COPY BELOW, OR REMOVE THIS LINE!						\$ -
Expenditures NOT Subject to IDC			\$ 252,598		\$ -	\$ 252,598
Expenditures SUBJECT to IDC		\$ -		\$ -		\$ -
Indirect Cost Rate (If blank or zero, must explain in Notes above)		14.23%				
Indirect Cost Allocation	970000	\$ -		\$ -		\$ -
Total Expenditures			\$ 252,598		\$ -	\$ 252,598

Revenues OVER \ (UNDER) Expenditures		\$ 500,000	\$ -	\$ 500,000
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Transfers In\Out - (Show ALL as Positive Numbers)

Operating Transfers IN					
Other financing sources	900000				\$ -
Cash in: tribally required	900010				\$ -
Cash in: grant required	900020				\$ -
Cash in: motor fuel tax	900040				\$ -
Cash in: vehicle tax	900050				\$ -
Cash in: interprogram contract	900060				\$ -
Cash in: debt service	900070				\$ -
Operating Transfers OUT					
Other financing uses	900001				\$ -
Cash out: tribally required	900011				\$ -
Cash out: grant required	900021		\$500,000		\$ 500,000
Cash out: motor fuel tax	900041				\$ -
Cash out: vehicle tax	900051				\$ -
Cash out: interprogram contract	900061				\$ -
Cash out: debt service	900071				\$ -
Transfers In\Out - Net			\$ (500,000)		\$ (500,000)
Take to Narrative ==>			\$ 752,598		\$ -
Excess\ (Deficit) of Revenues, Expenditures and Net Transfers			\$ -		\$ -

Cherokee Nation FY 2014 Comprehensive Budget Narrative

Department		Executive Director		Phone	
12 – Commerce Services		Anna Knight		5532	
Accounting Unit		Accounting Unit Description			
3454500		2014 RBEG Grant			
Program Manager			Phone		Period Budget Covers
Shay Smith			5534		10/01/13 – 09/30/14
FY 2013 Approved Budget		FY 2014 Budget Request	\$ Increase/(Decrease) Requested – Approved		% Increase/(Decrease) (Requested – Approved)/Approved
\$0		\$752,598	\$752,598		100%
Staffing Plan (FTEs)		FY 2014	FY 2013		Net Change in Staffing
Regular Full-Time		0	0		0
Regular Part-Time		0	0		0
Temporary Full-Time					
Temporary Part-Time					
IPA/MOA					
Total		0	0		0

PROGRAM NARRATIVE

Program Description: This budget is used to record the revenue and expenses incurred by the 2014 Rural Business Enterprises Grant. The loan fund will be used to expand Cherokee Nation’s ability to meet the commercial financing needs of CN citizens.

Eligibility Criteria: Loan recipients must be:
 18 years of age or older
 Low to moderate income
 Member of a federally recognized tribe
 Operate or wish to operate a legitimate business

Service Area: Cherokee Nation's 14 county jurisdictional area

SIGNIFICANT CHANGES

New award to be used for expansion of the commercial loan fund.



United States Department of Agriculture
Rural Development
State Office
Stillwater, Oklahoma

August 15, 2013

Cherokee Nation
Attention Grants Administration
PO Box 1669
Tahlequah, OK 74465-1669

RE: Rural Business Enterprise Grant (RBEG)
Letter of Conditions (LOC) for Establishing a Revolving Loan Fund (RLF)

Dear Chief Baker,

This letter establishes conditions which must be understood and agreed to by the Cherokee Nation (grantee) before further consideration may be given to the application. Any changes in project cost, source of funds, scope of services, or any other significant changes in the project or applicant must be reported to and approved by USDA Rural Development (USDA) by written amendment to this letter. Any changes not approved by USDA shall be cause for discontinuing processing of the application.

This letter is not to be considered as grant approval nor as representation as to the availability of funds. The docket may be completed on the basis of a grant not to exceed \$500,000.

The RBS Rural Business Enterprise Grant (RBEG) will be used to establish a Revolving Loan Fund (RLF).

The grant is based on the following funding breakdown:

RBEG Grant	\$500,000
Grantee contribution	\$252,598
	<u> </u>
Total RLF	752,598

No grant funds will be allowed for cost(s) incurred or commitments made prior to grant approval.

Please complete and return the enclosed Form RD 1942-46, "Letter of Intent to Meet Conditions," if you desire that further consideration be given to your application.

100 USDA • Suite 108 • Stillwater, OK 74074
Phone: (405) 742-1000 • Fax: (405) 742-1005 • TDD: (405) 742-1007 • Web <http://www.rurdev.usda.gov/ok>

Committed to the future of rural communities

"USDA is an equal opportunity provider, employer and lender."
To file a complaint of discrimination write USDA, Director, Office of Civil Rights
1400 Independence Avenue, SW, Washington, DC 20250-9410 or call (800) 795-3272 (Voice) or (202) 720-6382 (TDD)

REQUEST FOR OBLIGATION OF FUNDS

FORM APPROVED
OMB No. 0570-0062

INSTRUCTIONS-TYPE IN CAPITALIZED ELITE TYPE IN SPACES MARKED ()
Complete Items 1 through 29 and applicable Items 30 through 34. See FMI.

1. CASE NUMBER ST CO BORROWER ID 42-011-730757033		LOAN NUMBER 16		FISCAL YEAR 2013	
2. BORROWER NAME CHEROKEE NATION		3. NUMBER NAME FIELDS (1, 2, or 3 from Item 2)			
P.O. BOX 948		4. STATE NAME OKLAHOMA			
TAHLEQUAH, OK 74465-0948		5. COUNTY NAME CHEROKEE			
GENERAL BORROWER/LOAN INFORMATION					
6. RACE/ETHNIC CLASSIFICATION 1 - WHITE 2 - BLACK 3 - A/AN 4 - HISPANIC 5 - A/PI		7. TYPE OF APPLICANT 1 - INDIVIDUAL 2 - PARTNERSHIP 3 - CORPORATION 4 - PUBLIC BODY 5 - ASSOC. OF FARMERS 6 - ORG. OF FARMERS 7 - NONPROFIT-SECULAR 8 - NONPROFIT-FAITH BASED 9 - INDIAN TRIBE 10 - PUBLIC COLLEGE/UNIVERSITY 11 - OTHER		8. COLLATERAL CODE 1 - REAL ESTATE SECURED 2 - REAL ESTATE AND CHATTEL 3 - NOTE ONLY OR CHATTEL ONLY 4 - MACHINERY ONLY 5 - LIVESTOCK ONLY 6 - CROPS ONLY 7 - SECURED BY BONDS 8 - RLF ACCT	
9. EMPLOYEE RELATIONSHIP CODE 1 - EMPLOYEE 2 - MEMBER OF FAMILY 3 - CLOSE RELATIVE 4 - ASSOC.		10. SEX CODE 1 - MALE 2 - FEMALE 3 - FAMILY UNIT 4 - ORGAN MALE OWNED 5 - ORGAN FEMALE OWNED 6 - PUBLIC BODY		11. MARITAL STATUS 1 - MARRIED 2 - SEPARATED 3 - UNMARRIED (INCLUDES WIDOWED/DIVORCED)	
12. VETERAN CODE 1 - YES 2 - NO		13. CREDIT REPORT 1 - YES 2 - NO		14. DIRECT PAYMENT (See FMI)	
15. TYPE OF PAYMENT 1 - MONTHLY 2 - ANNUALLY 3 - SEMI-ANNUALLY 4 - QUARTERLY		16. FEE INSPECTION 1 - YES 2 - NO		17. COMMUNITY SIZE 1 - 10,000 OR LESS (FOR SFH AND HPG ONLY) 2 - OVER 10,000	
18. USE OF FUNDS CODE (See FMI)		19. TYPE OF ASSISTANCE 310 (See FMI)			
20. PURPOSE CODE		21. SOURCE OF FUNDS 2		22. TYPE OF ACTION 1 - OBLIGATION ONLY 2 - OBLIGATION/CHECK REQUEST 3 - CORRECTION OF OBLIGATION	
23. TYPE OF SUBMISSION 1 - INITIAL 2 - SUBSEQUENT		24. AMOUNT OF LOAN		25. AMOUNT OF GRANT \$500,000.00	
26. AMOUNT OF IMMEDIATE ADVANCE		27. DATE OF APPROVAL MO DAY YR 08-15-2013		28. INTEREST RATE 0 %	
29. REPAYMENT TERMS		30. PROFIT TYPE 1 - FULL PROFIT 2 - LIMITED PROFIT 3 - NONPROFIT			
31. DISASTER DESIGNATION NUMBER (See FMI)		32. TYPE OF SALE 1 - CREDIT SALE ONLY 2 - ASSUMPTION ONLY 3 - CREDIT SALE WITH SUBSEQUENT LOAN 4 - ASSUMPTION WITH SUBSEQUENT LOAN			
33. OBLIGATION DATE MO DA YR 08-23-2013		34. BEGINNING FARMER/RANCHER (See FMI)			

If the decision contained above in this form results in denial, reduction or cancellation of USDA assistance, you may appeal this decision and have a hearing or you may request a review in lieu of a hearing. Please use the form we have included for this purpose.

Position 2

ORIGINAL - Borrower's Case Folder COPY 1 - Finance Office COPY 2 - Applicant/Lender COPY 3 - State Office

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0570-0062. The time required to complete this information collection is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

V

CHEROKEE NATION - FY2014 BUDGET REQUEST FORM

PART-1

Budget Period:	10/01/13 - 09/30/14	Budget Preparer	Phone: 5285
Contract Period:	09/19/13 - 09/18/14	Name:	Mary Campbell
Contract Number:	131NA011567	Accounting Unit Director/Manager	Phone: 5534
Accounting Fund:	3-Special Revenue	Name:	Shay Smith
Funding Source:	75-Federal Other	Executive Director	Phone: 5532
AU Description:	CDFI Loan Fund	Name:	Anna Knight
Accounting Unit:	3753207	1st Person Responsible	
Place IDC Rate in Part 4 Below		Employee #	10-1074
Date/Time Printed:	14-May-14 08:53 AM		
Notes: Cash out in the amount of \$750,000 to AU 4109030 - Commercial Loan Fund.			

PART-2

Staffing Summary:

	FY 2014 ORIG REQUEST	FY 2013 BUDGET	Incr \ (Decr)
# of Regular Full-Time Employee Equivalents:			-
# of Regular Part-Time Employee Equivalents:			-
# of Temp. Full-Time Employee Equivalents:			-
# of Temp. Part-Time Employee Equivalents:			-
# of Other Employee Equivalents:			-
TOTAL NUMBER OF EMPLOYEE-EQUIVALENTS			-

PART-3

Revenues: (Show as positive #)	Account #			Incr \ (Decr)
Grants / contracts revenue	400000	\$750,000	\$194,802	\$ 555,198
Interest income	440010		\$500	\$ (500)
Please enter a valid account number - >>>				\$ -
DO NOT COPY TO, COPY BELOW, OR REMOVE THIS LINE!				\$ -
Total Revenues		\$ 750,000	\$ 195,302	\$ 554,698

PART-4

Expenditures:	Account #	Subject to IDC ?		Subject to IDC ?		Incr \ (Decr)
		YES	NO	YES	NO	
DO NOT COPY TO, COPY ABOVE, OR REMOVE THIS LINE!						
Salaries & wages	600000	\$0				\$ -
Fringe benefits	610000	\$0				\$ -
Please enter a valid account number - >>>						\$ -
Please enter a valid account number - >>>						\$ -
DO NOT COPY TO, COPY BELOW, OR REMOVE THIS LINE!						\$ -
Expenditures NOT Subject to IDC			\$ -		\$ -	\$ -
Expenditures SUBJECT to IDC		\$ -		\$ -		\$ -
Indirect Cost Rate (If blank or zero, must explain in Notes above)		14.23%				\$ -
Indirect Cost Allocation	970000	\$ -		\$ -		\$ -
Total Expenditures			\$ -	\$ -		\$ -

Revenues OVER \ (UNDER) Expenditures		\$ 750,000	\$ 195,302	\$ 554,698
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Transfers In\Out - (Show ALL as Positive Numbers)

Operating Transfers IN					
Other financing sources	900000				\$ -
Cash in: tribally required	900010				\$ -
Cash in: grant required	900020				\$ -
Cash in: motor fuel tax	900040				\$ -
Cash in: vehicle tax	900050				\$ -
Cash in: interprogram contract	900060				\$ -
Cash in: debt service	900070				\$ -
Operating Transfers OUT					
Other financing uses	900001				\$ -
Cash out: tribally required	900011				\$ -
Cash out: grant required	900021				\$ -
Cash out: motor fuel tax	900041	\$750,000		\$195,302	\$ 554,698
Cash out: vehicle tax	900051				\$ -
Cash out: interprogram contract	900061				\$ -
Cash out: debt service	900071				\$ -

Transfers In\Out - Net		\$ (750,000)	\$ (195,302)	\$ (554,698)
Take to Narrative ==>		\$ 750,000	\$ 195,302	\$ (554,698)

Excess\ (Deficit) of Revenues, Expenditures and Net Transfers		\$ -	\$ -	\$ -
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Cherokee Nation FY 2014 Comprehensive Budget Narrative

Department	Executive Director	Phone	
12 – Commerce Services	Anna Knight	5532	
Accounting Unit	Accounting Unit Description		
3753207	2014 CDFI Loan Fund		
Program Manager	Phone	Period Budget Covers	
Shay Smith	5534	10/01/13 – 09/30/14	
FY 2013 Approved Budget	FY 2014 Budget Request	\$ Increase/(Decrease) Requested – Approved	% Increase/(Decrease) (Requested – Approved)/Approved
\$195,302	\$750,000	\$554,698	284%
Staffing Plan (FTEs)	FY 2014	FY 2013	Net Change in Staffing
Regular Full-Time	0	0	0
Regular Part-Time	0	0	0
Temporary Full-Time			
Temporary Part-Time			
IPA/MOA			
Total	0	0	0

PROGRAM NARRATIVE

Program Description: This budget is used to record the revenue and expenses incurred by the 2014 CDFI Loan Fund. This \$750,000 loan pool is funded by the 2014 CDFI award of \$750,000 which will be transferred to AU 4109030 – Commercial Loan Fund.

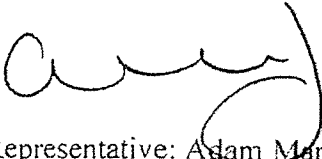

Eligibility Criteria: Loan recipients must be:
 18 years of age or older
 Low to moderate income
 Member of a federally recognized tribe
 Meet 3 of these 5 criteria: 1) credit worthiness, 2) positive cash flow as evidenced by a business plan, 3) collateral, 4) equity contribution, 5) applicable experience/training

Service Area: Cherokee Nation's 14 county jurisdictional area

SIGNIFICANT CHANGES

This is a new award for FY14.

**COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND
ASSISTANCE AGREEMENT**

Awardee: Cherokee Nation Economic Development Trust Authority, Inc.	CDFI Fund Award Number: I31NA011567 DUNS Number: 077345494 Employer Identification Number: 730757033
Address: Post Office Box 948, Tahlequah, OK 74464-0948	
Applicable Program: FA Component, NACA Program Date of Applicable NOFA: 12/28/2012	Date of Notice of Award (Performance Period Start Date): 9/19/2013
By signing this Assistance Agreement and in consideration of the mutual covenants, conditions and agreements hereinafter set forth, the parties hereto, by their respective Authorized Representatives, agree to the following: (i) the award of Assistance hereunder shall be administered pursuant to the General Award Terms and Conditions, attached hereto as Schedule 3 and made a material part hereof; and (ii) the award of Assistance shall be further subject to the provisions, terms, conditions, requirements, certifications and representations set forth in all such additional schedules that are attached hereto and constitute a material part hereof. In witness whereof, the parties hereto do hereby execute and enter into this Assistance Agreement.	
Community Development Financial Institutions Fund By:  Authorized Representative: Adam Martinez Title: Program Manager	Cherokee Nation Economic Development Trust Authority, Inc. By:  Authorized Representative: Bill J Baker Title: Principal Chief Cherokee Nation

Effective Date : 1/29/14

Matching Funds: Not Applicable

Awardee Information

Entity Type: Loan Fund

CDFI Certification Status as of Date of Notice of Award: Certified

Financial Assistance				
	Initial Financial Assistance (FA) Award Breakdown*	Initial FA Disbursement	Initial HFFI Financial Assistance (HFFI-FA) Award Breakdown*	Initial HFFI-FA Disbursement
Grant	\$750,000	\$750,000	\$0	\$0
Loan	\$0	\$0	\$0	\$0
Equity	\$0	\$0	\$0	\$0
Secondary Capital	\$0	\$0	\$0	\$0
Deposit	\$0	\$0	\$0	\$0
TOTAL	\$750,000	\$750,000	\$0	\$0

Amount of Financial Assistance Committed to Persistent Poverty Counties: \$375,000

Amount of HFFI Financial Assistance Committed to Persistent Poverty Counties: \$0

Technical Assistance			
Technical Assistance (TA) Award	\$0	Initial TA Disbursement	\$0

* Award breakdown may be modified at the discretion of the CDFI Fund.

CHEROKEE NATION - FY2014 BUDGET REQUEST FORM

For Internal Purposes Only Not For Distribution

PART-1

Budget Period:	10-01/13 - 09/30/14	Budget Preparer	Phone: 5285
Contract Number:		Name:	Mary Campbell
Accounting Fund:	4-Enterprise	Accounting Unit Director/Manager	Phone: 5534
Funding Source:	10-Enterprise	Name:	Shay Smith
AU Description:	Commercial Loan Fund	Executive Director	Phone: 5532
Accounting Unit:	4109030	Name:	Anna Knight
Place IDC Rate in Part 4 Below		1st Person Responsible	
Date/Time Printed:	19-May-14 03:08 PM	Employee #	10-1074

PART-2

Staffing Summary:

Notes: Cash in from AU 3454500, RBEG Micro Lending, in the amount of \$500,000 (new AU, contract number 42-011-730757033) and cash in from AU 3753207, 2014 CDFI Loan Fund, in the amount of \$750,000. Amount in account 670000 is the total of the CDFI and RBEG loan funds that will be disbursed to loan applicants.			
	FY 2014 REVISION 1	FY 2014 ORIG REQUEST	Incr \ (Decr)
# of Regular Full-Time Employee Equivalents:			-
# of Regular Part-Time Employee Equivalents:			-
# of Temp. Full-Time Employee Equivalents:			-
# of Temp. Part-Time Employee Equivalents:			-
# of Other Employee Equivalents:			-
TOTAL NUMBER OF EMPLOYEE-EQUIVALENTS			

PART-3

Revenues: (Show as positive #)	Account #			Incr \ (Decr)
Interest income	440010	\$420	\$420	\$ -
Interest income - loans/notes	441000	\$230,066	\$79,131	\$ 150,935
Carryover: "unappropriated" PY	490010	\$77,802	\$77,802	\$ -
Loan processing fee income	499021	\$29,493	\$29,493	\$ -
Late fee income	499023	\$3,500	\$0	\$ 3,500
DO NOT COPY TO, COPY BELOW, OR REMOVE THIS LINE!				
Total Revenues		\$ 341,281	\$ 186,846	\$ 154,435

PART-4

Expenditures:

DO NOT COPY TO, COPY ABOVE, OR REMOVE THIS LINE!	Account #	Subject to IDC ?		Subject to IDC ?		Incr \ (Decr)
		YES	NO	YES	NO	
Salaries & wages	600000	\$0		\$0		\$ -
Fringe benefits	610000	\$0		\$0		\$ -
Contract services >=\$5K	650000		\$0		\$0	\$ -
Client services	670000		\$1,250,000		\$0	\$ 1,250,000
Bad debts	760050		\$341,281		\$186,846	\$ 154,435
Please enter a valid account number - >>>						
DO NOT COPY TO, COPY BELOW, OR REMOVE THIS LINE!						
Expenditures NOT Subject to IDC			\$ 1,591,281		\$ 186,846	\$ 1,404,435
Expenditures SUBJECT to IDC						
Indirect Cost Rate (If blank or zero, must explain in Notes above)						
Indirect Cost Allocation 970000		14.23%		14.23%		
Total Expenditures			\$ 1,591,281		\$ 186,846	\$ 1,404,435

Revenues OVER \ (UNDER) Expenditures		\$ (1,250,000)		\$ -	\$ (1,250,000)
---	--	-----------------------	--	-------------	-----------------------

Transfers In/Out - (Show ALL as Positive Numbers)

Operating Transfers IN					
Other financing sources	900000				\$ -
Cash in: tribally required	900010				\$ -
Cash in: grant required	900020		\$1,250,000		\$ 1,250,000
Cash in: motor fuel tax	900040			\$0	\$ -
Cash in: vehicle tax	900050				\$ -
Cash in: interprogram contract	900060				\$ -
Cash in: debt service	900070				\$ -
Operating Transfers OUT					
Other financing uses	900001				\$ -
Cash out: tribally required	900011				\$ -
Cash out: grant required	900021				\$ -
Cash out: motor fuel tax	900041				\$ -
Cash out: vehicle tax	900051				\$ -
Cash out: interprogram contract	900061				\$ -
Cash out: debt service	900071				\$ -
Transfers In/Out - Net					
			\$ 1,250,000		\$ -
Take to Narrative ==>			\$ 1,591,281		\$ 186,846
Excess \ (Deficit) of Revenues, Expenditures and Net Transfers					
			\$ -		\$ -

Cherokee Nation Act/Resolution Proposal Form

Act Resolution

<u>ADMINISTRATIVE CLEARANCE</u>	
Dept/Program:	
Signature/Initial	Date
Executive Director:	
Signature/Initial	Date
Treasurer: (Required: Grants/Contracts/Budgets)	
<i>[Handwritten Signature]</i> 5-28-14	
Signature/Initial	Date
Government Resources:	
Signature/Initial	Date
Administration Approval:	
<i>[Handwritten Signature]</i> 5/30/14	
Signature/Initial	Date

TITLE: AN ACT AMENDING LEGISLATIVE ACT #21-13 AUTHORIZING THE COMPREHENSIVE BUDGET FOR FISCAL YEAR 2014 OPERATING – MOD 10; AND DECLARING AN EMERGENCY

DEPARTMENT CONTACT: Gaylon Thompson

RESOLUTION PRESENTER: _____

COUNCIL SPONSOR: _____

NARRATIVE:

<u>LEGISLATIVE CLEARANCE:</u>	
Legal & Legislative Coordinator:	
<i>[Handwritten Signature]</i> 4/2/14	
Signature/Initial	Date
Standing Committee & Date:	
<i>Executive Finance</i> 4/17/14	
Chairperson:	
<i>[Handwritten Signature]</i>	
Signature/Initial	Date
Returned to Presenter: _____	
Date	

06-02-14 P03:04 IN